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Comparison of Efficacy and Frequency of Screening and Selected Treatment

Modalities for Prostate Cancer in African American and White Men

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them had prostatectomy. Because of small numbers, we were unable to assess screening and treatment differences by race. In conclusion, although the number of patients recruited were too small for valid conclusion, we have demonstrated the feasibility of conducting a case-control study to evaluate racial differences in the frequency of screening and early treatment for prostate cancer. Based on the pilot data,

a grant proposal for a major study is under preparation.

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#### 3. INTRODUCTION

Prostate cancer is the most common cancer in U.S. men, affecting one in five men in their life time. It is the second leading cause of male cancer deaths (1). Migrant studies and cancer statistics suggest the role of both genetic and environmental factors in the etiology of prostate cancer (2-7). The age-adjusted incidence rate of this disease in African-American men is the highest in the world and is 50 percent higher than in Whites (8-10). African-American men are younger at presentation and prostate tumors appear more likely to be aggressive among blacks than whites (11). Prostate cancer mortality among African-Americans is twice than for Whites, in considerable excess of their higher incidence, a finding that is partly related to their more advanced stage of disease at diagnosis (11-15). The cause of these racial differences is largely unknown; biologic, hormonal, screening, treatment, nutritional, genetic and environmental factors have all been implicated (16-28). The aim of the present research concept development proposal was to determine the feasibility of conducting a study to evaluate differences in prostate cancer screening and treatment practices between African-American and White men.

## 4. BODY

Task 1. Organization of the Pilot Project Office and Recruitment of a Research Assistant.

The pilot project was planned to start on February 1, 1999. However, the initiation of the project was delayed until April, 1999. This was because of the procedures required to obtain clearance from the US Army Medical Research and

Material Command Institutional Review Board. This additional step was not anticipated by neither the investigators nor the U.S. Army, but was apparent at the stage of transferring funds to the university. During the month of April, 1999, Dr. Demissie (the Principal Investigator) spent most of his time organizing office space and other resources for the study. During that month the job description of the research assistant was outlined and a full-time research assistant was hired for the project (Amy K. O'Dowd). Dr. Demissie sought multiple consultations from the established investigator (Dr. George G. Rhoads) on outlining the job description and developing specific training tasks for the research assistant. At the time of hiring, the research assistant was a public health student who had completed her course work requirements for the Masters in Public Health degree with concentration in quantitative methods. In addition to the main purpose of the study, this pilot project provided an educational opportunity for the research assistant. The research assistant had completed her field work using the pilot data (please see appendix). Dr. Demissie conducted several training sessions for the research assistant on the pilot protocol detailing the kinds of information to be collected from patients through an interview as well as extracting data from patient medical records. Data storage and accuracy checks were also demonstrated and emphasized by the principal investigator during the training period. An initial meeting about the project was also held comprising the research assistant, the principal investigator, the established investigator and staff of the New Jersey Tumor Registry. In this meeting, ways of collaboration with the NJ Tumor registry in obtaining list of patients and addresses of their treating oncologist were discussed.

## Task 2. Initiation and Execution of Pilot Studies, Months 2-5.

# A) Study Design Overview

A case-control study where New Jersey residents dying of prostate cancer between the ages of 55 and 79 during the period July 1, 1997 through June 30, 2000 are being enrolled as cases. Controls are a representative group of New Jersey male residents ascertained from HCFA files (or by random digit dialing for the modest number under age 65), matched to the cases on age and race.

## B) Overview of Study Subjects

Till date (December 15, 1999) a total of 198 cases and 126 controls have been recruited into the study. The distribution of these cases and controls by race is presented below (Table 1).

Table 1. Distribution of Cases and Controls by Race.

|          | Total | Whites | Blacks | Refused |        |        |
|----------|-------|--------|--------|---------|--------|--------|
|          |       |        |        | Total   | Whites | Blacks |
| Cases    | 198   | 170    | 28     | 77      | 66     | 11     |
|          |       |        |        | Total   | Whites | Blacks |
| Controls | 126   | 109    | 23     | 58      | 50     | 8      |

As can be seen from the above table, the majority of patients recruited into the study were Caucasians (85.86% of cases and 86.51% of controls) and the total response rate was about 70 percent.

# C) To Develop and Pretest Data Collection Instruments

The following data collection instruments were developed and tested (please see appendices):

- Physician worksheet
- Hospital worksheet
- Interview data sheet
- Tumor registry abstract sheet
- Biopsy sub-file sheet
- Disease sub-file sheet
- Physician sub-file sheet
- Hospital sub-file sheet
- Medications sub-file sheet
- PSA abstract sheet
- Prostatectomy sheet

# D) To Assess the Frequency of Prostate-Specific Antigen (PSA) Screening.

Out of the 198 cases and 126 controls enrolled in the study, information collection was completed for 44 cases (22.22%) and 36 controls (28.57%). Descriptive characteristics of these cases and controls by age groups and race is presented in Table 2.

Table 2. Descriptive Characteristics of Cases and Controls by Age Groups and Race.

| Characteristics | Cases (n = 44) | Controls (n = 36) |
|-----------------|----------------|-------------------|
| Race, %         |                |                   |
| White           | 86.4           | 94.4              |
| Black           | 13.6           | 5.6               |
| Age groups, %   |                |                   |
| Under 60        | 6.8            | 55.6              |
| 60-64           | 11.4           | 11.1              |
| 65-69           | 13.6           | 5.6               |
| 70-74           | 11.4           | 8.3               |
| ≥ 75            | 56.8           | 19.4              |

As can be seen from table 2, cases are more likely to be African-American and older as compared to controls.

Table 3 presents the distribution of cases, controls and the overall sample for which information is available by their PSA screening status.

Table 3. Distribution of Cases and Controls by PSA Screening Status

|                         | Cases (n = 44) | Controls (n = 36) | Total (n = 80) |
|-------------------------|----------------|-------------------|----------------|
| Screening PSA (number)  | 7              | 14                | 21             |
| Non Screening PSA       | 32             | 2                 | 34             |
| (diagnostic, number)    |                |                   |                |
| Never Screened (number) | 5              | 20                | 25             |

The frequency of PSA screening among the cases was 15.91 percent as compared to 38.89 percent among controls, suggesting the efficacy of PSA screening. However, the numbers were too small for any valid conclusion as well as to compare the frequency of PSA screening by race.

# E) To Assess the Rate of Prostatectomy by Race, Stage and Age Groups.

Localized prostate cancer patients were the population of interest in calculating the rate of prostatectomy. This is because of our hypothesis that prostatectomy is efficacious in reducing mortality among patients diagnosed with localized prostate cancer. Determination of the rate of prostatectomy among patients with localized prostate cancer is important in order to plan the size of a study aimed to be carried out subsequently to assess the efficacy of prostatectomy. To date, only 12 patients with localized prostate cancer were recruited into our study population and only 4 (33.33%) of them had prostatectomy. The distribution of these patients by age groups, stage of disease, race and type of surgery is displayed in table 4.

Table 4. Distribution of localized prostate cancer patients by age, race, stage of cancer and type of surgical treatment

| Characteristics      | Number | Percent |
|----------------------|--------|---------|
| Age                  |        |         |
| 62                   | 1      | 8.3     |
| 67                   | 1      | 8.3     |
| 70                   | 1      | 8.3     |
| 71                   | 3      | 25.0    |
| 72                   | 1      | 8.3     |
| 77                   | 2      | 16.7    |
| 79                   | 3      | 25.0    |
| Race                 |        |         |
| White                | 10     | 83.3    |
| Black                | 2      | 16.7    |
| Stage of Cancer      |        |         |
| ı                    | 8      | 66.7    |
| 11                   | 4      | 33.3    |
| Type of Surgery      |        |         |
| Biopsy, primary site | 6      | 50.0    |
| Turp, no nodes       | 2      | 16.7    |
| Prostatectomy        | 4      | 33.3    |

Because of small numbers, we were unable to explore racial differences.

F) To Determine the Size of a Study That will Evaluate the Efficacy of Prostatectomy in Preventing Death from Prostate Cancer.

The hypothesis that prostatectomy for localized prostate cancer is efficacious in reducing mortality from prostate cancer will be tested by developing a supplementary control group that is composed of men diagnosed with stage A or B prostate cancer who are matched to the prostate cancer decedents (cases) on age, race, stage, and year of diagnosis, but whose disease never progressed. Such controls are being located from the New Jersey Cancer Registry. The use of prostatectomy, radiation therapy, and endocrine therapy (including orchiectomy) is then ascertained from the medical records in a manner that is similar to the on-going study of PSA screening. Several tasks have been performed in order to get started with this part of the project. First, Dr. Demissie and the established investigator held a meeting with the State Tumor Registry officials in order to describe the purpose of the project and to assess their level of enthusiasm and support for the proposed project. The project was well received by the State Tumor Registry officials and assurance has been obtained for their support. During this meeting, procedures for obtaining the New Jersey State IRB approval had been discussed. Similar discussion had been conducted with a urologist at the Department of Surgery of the University of Medicine and Dentistry - Robert Wood Johnson Medical School. Second, an additional data collection instrument and protocol (see appendices) has been incorporated to the protocols originally developed. This instrument seeks information on initial treatment histories (surgical and hormonal) within on year of the patients' diagnosis with prostate cancer. The data being collected includes information on the receipt of surgical and/or hormonal treatment (in-hospital

and in doctors' offices). For those prostate cancer patients who have not received treatment, the reasons for not receiving treatment is being sought. Histories of other comorbid diseases around the time of diagnosis and stage of the cancer at diagnosis are also part of the information being collected. Patient's medical record is the source of data collection. The study benefits from a collaboration with the State Tumor Registry which has statutory authority to review medical records of cases and controls. It should be noted that the case series of the PSA screening project will be used for the prostatectomy project and the above information is being collected only for the cases. The control group of the PSA screening project can not be used to evaluate the efficacy of prostatectomy. Instead, we are developing a supplementary control group as described earlier. The sample size required for the prostatectomy project is presented in the table below (Table 5).

Table 5. Required Sample Size to Detect a 20% Reduction in Prostate Cancer Death with One- and Two-Sided Alpha = 0.05 and 80 and 90 Percent Power for Various Prevalence Levels of Exposure to Prostatectomy among the Control men.

|               | Number of Cases-Control Pairs Required |           |              |             |
|---------------|--|-----------|--------------|-------------|
| Prevalence of | alpha = 0.05 (one-sided)               |           | alpha = 0.05 | (two-sided) |
| prostatectomy | 90% power                              | 80% power | 90% power    | 80% power   |
| 0.15          | 1445                                   | 1043      | 1780         | 1331        |
| 0.20          | 1139                                   | 823       | 1404         | 1049        |
| 0.25          | 962                                    | 695       | 1185         | 886         |
| 0.30          | 850                                    | 614       | 1047         | 783         |
| 0.35          | 776                                    | 560       | 956          | 715         |
| 0.40          | 728                                    | 525       | 897          | 670         |
| 0.45          | 698                                    | 504       | 860          | 643         |
| 0.50          | 683                                    | 493       | 842          | 630         |
| 0.55          | 683                                    | 493       | 841          | 629         |
| 0.60          | 696                                    | 503       | 858          | 641         |
| 0.65          | 726                                    | 524       | 894          | 669         |
| 0.70          | 777                                    | 561       | 958          | 716         |
| 0.75          | 861                                    | 621       | 1060         | 793         |
| 0.80          | 997                                    | 720       | 1228         | 918         |

In order to detect a 20% reduction in prostate cancer death with two-sided alpha=0.05 and 80% power, about 780 cases and 780 controls will be required

(assuming the frequency of prostatectomy among the general population to be about 30%).

# G) To Assess the Frequency of Use of Hormonal Treatment

The distribution of hormonal therapy among the cases is presented in Table 6.

Lupron, casodex and megace were the most commonly hormonal drugs used in treating prostate cancer.

Table 6. Distribution of Hormone Therapy Among Cases

|                                    | Number | Percent |
|------------------------------------|--------|---------|
| No Treatment                       | 8      | 18.2    |
| Hormone Therapy                    | 29     | 65.9    |
| Endocrine Surgery                  | 4      | 9.1     |
| Both Hormone and Endocrine Therapy | 3      | 6.8     |

## 5. KEY RESEARCH ACCOMPLISHMENTS

- Development of Research Instruments
- Establishment of a strong collaboration with NJ State Tumor Registry
- Collection of Pilot Data for Preparing a Grant Proposal

#### **6. REPORTABLE OUTCOMES**

- MPH Degree
- Funding was obtained from the Robert Wood Johnson Foundation to examine socioeconomic status correlates and prostate cancer incidence.
- Database for the project was created that will allow continuous entry of information as the project progresses.

#### 7. CONCLUSIONS

The efficacy of PSA screening and prostatectomy in reducing mortality is largely unknown. Randomized controlled trials are being conducted to address these issues but the results will not be available for years to come. A case-control methodology is an alternative way of evaluating the efficacy of these interventions. Although, the number of cases and controls recruited in our pilot study were too small to reach to any conclusion, we have demonstrated the feasibility of using the case-control approach in evaluating preventive interventions. Again because of the small number of patients recruited into the study, comparison of outcomes by racial groups was unachievable. This objective can be achieved as more data become available. Based on the collected data we plan to write a grant proposal that will be submitted to the National Institute of Health.

#### 8. LIST OF PERSONNEL

Kitaw Demissie, MD, PHD

Amy O'Dowd, MPH

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# AN ANALYSIS OF PROSTATE CANCER SCREENING: PREDICTORS OF PSA TESTING

Amy O'Dowd

**Epidemiology** 

**December 1, 1999** 

#### INTRODUCTION

This project was conducted in the Department of Environmental and Community

Medicine at the University of Medicine and Dentistry of New Jersey/Robert Wood Johnson

Medical School using data collected from the Men's Health Study, an investigation evaluating

prostate cancer, screening, and outcomes. The primary purpose of this project was to evaluate

screening histories during varying time periods in order to reveal which factors contribute to a

patient's screening status. A secondary, but equally important, goal was to provide some quality

control for data collection for the Men's Health Study. That is, by incorporating many of the

variables collected for the larger investigation, this project created a built-in system for checking

the agreement between the data entry and chart review processes.

### Background and Significance

Prostate cancer is the second leading cause of cancer deaths and the most commonly diagnosed cancer among men in the United States, accounting for 32% of all male cancers and 14% of male cancer-related deaths. In 1999, approximately 179,300 new cases and 37,000 prostate cancer-related deaths will occur in the United States. Although the cause of prostate cancer is unknown, possible etiological hypotheses include family history, hormonal patterns, and nutritional factors.<sup>2</sup>

Prostate cancer is rarely seen in men younger than 50 years of age. Ninety-five percent of prostate cancer is diagnosed in men between ages 45 and 89 with a median age of 72 years.<sup>3</sup> Furthermore, the age-adjusted incidence rate is 21 per 100,000 person-years for whites under age 65 and 819 per 100,000 per 100,000 person-years for men over age 65.

Incidence and mortality rates vary both geographically and racially. While prostate cancer is the most common cancer diagnosed in U.S. men, it is the fifth most frequent cancer worldwide. <sup>2</sup> Asian-Americans demonstrate incidence rates approximately one-third to one-half those of U.S. whites. However, there remains a three- to five-times greater risk when comparing Asian-Americans with native Japanese or Chinese. Schottenfeld and Fraumeni assert that, while "detection strategies may differ between countries... the results of migrant studies appear to show some real shifts in incidence toward rates in the new host country." This finding would provide at least some evidence that international differences are not entirely due to a genetic predisposition.

The total U.S., age-adjusted mortality rate for prostate cancer was 25.6 per 100,000 from 1992 through 1996 (Appendix, Table 1).4 There also appeared to be a distinct, geographical mortality pattern during this period with the highest mortality rates seen in the District of Columbia and four southern states. Nationally, Hawaii demonstrated the fewest number of deaths from prostate cancer (16.8 per 100,000, p<=.0002), perhaps attributable to a greater number of Asian/Pacific Islanders comprising its population.

The most recent Surveillance, Epidemiology and End Results (SEER, 1996) data revealed that age-adjusted incidence is higher in black males (211.3 per 100,000) compared with white males (135.7 per 100,000).<sup>4</sup> In addition, mortality rates among African-Americans were more than twice those of U.S. whites in 1996 (53.7 per 100,000 vs. 22.0 per 100,000) (Table 2).<sup>4</sup> NCI data have also shown that vastly different patterns of prostate cancer care and treatment exist between African-American and white males in the U.S.<sup>5</sup>

Other potential risk factors besides age, race, and family history of prostate cancer include alcohol consumption and vitamin or mineral interactions. However, because the etiology

of prostate cancer is unknown, prevention efforts have primarily focused on screening. Physicians and health-care practitioners have relied on screening in an effort to either prevent prostate cancer or reduce prostate cancer mortality. Yet, there has been no perceptible decrease in mortality despite the popularity of screening since the late 1980s. 1.6 Studies currently evaluating screening efficacy, such as the Prostate, Lung, Colorectal, and Ovarian (PLCO) Cancer Screening Trial, have yet to publish results that could show whether prostate cancer screening either saves lives or reduces morbidity. Nevertheless, the practice continues.

Prostate cancer rarely causes symptoms in its early stages because most of the adenocarcinomas arise in the periphery of the gland distal to the urethra. Any obstructive or irritative urinary symptoms may suggest regional or metastatic disease since cancerous growths may impinge upon the urethra or bladder neck. Yet, urinary symptoms could also be caused by benign prostatic hypertrophy (BPH). The presence of any prostatic disease, BPH and prostatitis included, is the most important factor affecting serum prostate-specific antigen (PSA) levels.<sup>2</sup> Thus, while elevated PSA levels may indicate the presence of prostate disease, not all men with prostate disease have elevated levels nor do all men with increased serum PSA have cancer. Consequently, any diagnostic procedures performed or treatments administered following positive screenings could cause unnecessary side effects for patients suffering from BPH or prostatism.

## **Screening Methods**

Digital rectal examinations (DRE) and serum prostate-specific antigen (PSA) levels are the screening procedures currently used to detect early prostate cancer. Prior to the 1990s, DRE was the traditional screening method. During a DRE, the posterior and lateral surfaces of the

prostate gland are palpated. However, it is estimated that, because the anterior portion of the prostate gland cannot be palpated, approximately 40%-50% of cancers will be missed by DRE.<sup>6</sup> Schottenfeld reports that its sensitivity is less than 50% while specificity may be as high as 99%.<sup>2</sup> Other studies have found that sensitivity ranges from 55%-69%, specificity 89%-97%, positive predictive value 11%-26%, and negative predictive value 85%-96%.<sup>1</sup> It would appear, therefore, that DRE depends on the skill of the practitioner. In fact, "DRE is a test with only fair reproducibility in the hands of experienced examiners."<sup>2</sup> The benefits of DRE are that it is relatively inexpensive, non-invasive, and does not result in morbidity.

PSA is a serin protease produced by the prostatic epithelium and periurethral glands in the male. Serum PSA elevations occur as a result of its diffusion into the circulation rather than into the prostatic tissue because of a "disruption of the normal prostatic architecture." This process can be initiated either by the presence of prostate disease or by prostatic manipulation (biopsy, massage or trauma).

The PSA test was approved by the U.S. Food and Drug Administration in 1986 to monitor prostate cancer patients and in 1994 to aid in prostate cancer detection. After 1986, however, the test was offered to men without a prostate cancer diagnosis and this resulted in the detection of a "substantial number of tumors." Sensitivity has been estimated to be approximately 70% while positive predictive values range from 26% to 52%.

The purpose of screening is to identify disease before the development of symptoms when, theoretically, an illness has a more favorable prognosis. However, as previously mentioned, it has not been established that early detection of prostate cancer promotes better outcomes. In order for any screening procedure to succeed, the disease in question must be serious, available treatments for the disease must have the ability to reduce either morbidity,

mortality, or both, and prevalence of the disease must be high within the screening population.

As such, the American Cancer Society and the American Urological Association both recommend routine screening in asymptomatic men over age 50. Yet, arguments against prostate cancer screening are based on the belief that early detection will result in overdiagnosis and overtreatment. That is, screening may often detect nonagressive prostate cancer, the treatment of which can result in significant morbidity without a proven decrease in mortality.8

Until results from the PLCO and other trials are published, the debates regarding risks and benefits of prostate cancer screening continue. The PSA test is still recommended by many physicians or requested by many patients. In light of the scientific and policy issues surrounding the prostate cancer screening controversy, this study will try to determine those factors that lead to a recommendation or request for a PSA test.

#### **METHODS**

In order for subjects to be eligible for this project, they must have met criteria set forth in the Men's Health Study (Table 3), an investigation conducted using a case-control study design. In addition, data collection, especially that pertaining to physician and hospital records, must have been completed for each subject.

Cases were identified from copies of death certificates supplied by the New Jersey

Department of Health. Phone numbers, addresses, and names of spouses of decedents were

updated and/or identified in order to mail introductory letters to eligible spouses. The letters

explained the purpose of the Men's Health Study, provided a telephone number to call in case of

questions, and listed the issues that were under investigation. Once a spouse agreed to

participate and a date of diagnosis was determined, a personal interview was arranged with the

spouse and permission to contact the diagnosing physician was obtained. If an in-person

interview was not possible, a telephone interview was conducted. In addition, consent forms

were presented either during the personal interview or by mail in case of telephone interviews.

Interview questions for both cases and controls were identical except for information regarding circumstances surrounding a case's prostate cancer diagnosis and subsequent treatments (Table 4). Only items pertinent to this project are presented herein.

The Northeast Research Corporation provided names and telephone numbers of potential controls under age 65 using random-digit dialing methods. Controls aged 65-79 were identified from Health Care Financing Administration files by Westat Corporation. Controls were subject to the same baseline interview as cases and were asked to sign medical record releases.

All subjects' medical records were reviewed by study physicians in order to confirm dates, diagnoses, validity of PSA screens, and other pertinent medical information. Because the

goal of this project was to investigate predictors of screening among subjects, ascertainment of PSA test history and events surrounding the procedure was necessary. Such information from physician progress notes or hospital records was abstracted onto a PSA subfile form (Table 5) and entered into the study database.

## Data Analysis

Survival analysis using the Cox (Proportional Hazards) Regression Method was employed as a predictive model in order to take into account the varying time periods from the start of the study to either a censoring date or an event date. The hazard or "risk" for this project is the probability of a subject having a PSA screen at a certain time, given that he has survived up to that time. This model also assumes that additive changes in the value of a covariate cause corresponding changes in the hazard or risk function. The statistical program was written using SAS version 6.12.

## **Study Covariates**

The following information was abstracted from completed files in the Men's Health

Study. Depending on frequency counts, some variables were recoded as categorical variables:

- 1. <u>Subject's identification number</u> numbers less than 5000 were assigned to cases, less than 7000 to controls under 65, and 7000 and over assigned to controls aged 65 and over. This variable was used as a case/control status variable;
- 2. <u>Date of birth</u> used for age and time-dependant calculations;
- 3. <u>Date of diagnosis</u>- used for time-dependant calculations. This variable also represented one of two endpoints for the data analysis. Subjects were censored on this date if there was no valid PSA screen;

- 4. Age calculated for each subject at the beginning date of the study, 01/01/1989. Subjects were then assigned to 5-year age groups (under 60, 60-64, 65-69, and 70-74). The 60-64 year age group served as the reference group for this covariate;
- 5. <u>Race</u> demographic variable. Subjects in this project were either white or African-American;
- 6. <u>Education level</u> Six levels of education were recoded into three variables: less than high school, high school diploma, or beyond high school education. The high school diploma category served as the reference group;
- 7. <u>Smoking status</u> ever- vs. never-smoker
- 8. <u>Date of 1<sup>st</sup> PSA</u> a time-dependant variable used as an endpoint for survival analysis. A valid screen was dependent upon the following "Reason for PSA" variables. If there were no documented symptoms or abnormal examinations found in the physician's progress notes, these variables would be coded as "no."
  - a. Suspicious DRE yes or no;
  - b. Nodule yes or no;
  - c. Abnormal prostate finding yes or no;
  - d. Follow-up of abnormal PSA yes or no,
  - e. Follow-up of negative biopsy yes or no;
  - f. Follow-up of abnormal imaging study yes or no;
  - g. Other follow-up yes or no if the PSA was done for a reason other than previously listed.

If any of these preceding variables yielded a "yes" value, then the PSA was <u>not</u> a valid screen. The following variable was derived as a result of a stepwise process using the aforementioned finding/symptom variables:

- 9. <u>Event</u> 1 for a valid PSA screen, 0 for an invalid screen or censoring;
- 10. <u>Survival</u> time-dependant calculation. For valid screens, PSA Date minus the beginning date of the study (01/01/1989), for censored subjects, Date of Diagnosis minus the beginning date of the study. This variable represents the time at risk for a screen for each subject in the study.
- Number of years having known physician Categorical numeric variable. As part of the data collection process, each subject provided names of physicians and the length of time they were under physician care. Subjects who did not know or did not provide this information were coded as "0" and served as the reference group for analysis. The other categories were 1-6 years and greater than 6 years. This variable would be interpreted as a surrogate for health-care utilization purposes.

12. Number of visits – Categorical numeric variable. Subjects without primary care physicians or who answered unknown were automatically coded as "0" and were used as a reference group. The other categories were 1-10 visits and greater than 10 visits. Surrogate for health-care utilization purposes.

#### RESULTS

A total of 84 subjects were analyzed for this project. 48 subjects were censored, (i.e., 57% did not have a PSA screen) and 36 subjects were noted to have valid PSA screens. Summary statistics describing this data set are presented in Table 6. Age, level of education, years having known the primary care physician, and number of visits to the primary care physician were recoded into categorical variables based upon these frequencies. The other covariates, race, smoking, and case/control status were binary variables.

Correlations between number of visits and number of years knowing the physician (r=0.33) as well as between education level and smoking were performed (r=0.23). It was felt that these pairs of variables might exhibit collinearity and, thus, affect the ultimate analysis. That is, a patient is more likely to visit a physician more frequently the longer he has known him/her. In addition, level of education and smoking could be construed as two different variables conveying the same socioeconomic status. When number of visits and number of years knowing the physician were correlated as categorical variables, collinearity increased to r=0.62.

Cox regression was performed using all variables as previously described (Tables 7 and 8). Smoking status, case/control status, and number of visits all generated a risk ratio ~ = 1.0 in Table 7. Although the change in -2 log likelihood was significant at ChiSquare=31.3 (p=0.002), the fact that three of covariates showed no appreciable risk differences in the first run led to an additional run that omitted three variables (case/control status, level of education, and number of visits).

Table 8 shows a more significant model that accounted for a greater proportion of the default model (change in -2 log likelihood was 28.32, p=0.0002). According to these results,

whites were 80% more likely to have been screened and smokers were approximately 70% less likely to have a PSA screen. Compared with the 60-64 year-old group, men under 60 and men aged 65 to 69 were 60% to 70% less likely to have a PSA test. Men over age 70 were 69% more apt to be screened. Finally, the longer a subject knew his physician, the better his chances of being screened for prostate cancer. Men knowing their physician more than 6 years were 12 times more likely to have been screened. Those knowing their primary care physicians for 1 to 6 years were screened 6 times as often as those without a regular doctor.

## Discussion

It must be emphasized that the results for this project pertain only to this data set.

Because of the small sample size, findings cannot be generalized to the population at large (weak external validity). However, the study does provide a framework for future investigations of larger samples.

The most important finding in this project may be the fact that the longer one knows his physician the more likely he is to be screened for prostate cancer. This would make sense, especially from the perspective of health-service utilization patterns. That is, with the sustained growth of the managed-care industry and the advent of Medicare HMOs, patients may be assigned to several primary care physicians in one medical group, precluding not only continuity of care but perhaps also requests for screening tests due to the persistent need to review medical histories during short periods of time. In fact, Eisen et al. reported that having a regular source of care, a regular physician, and health insurance predicted having some form of screening. All members of this study sample had health insurance, either Medicare or an HMO, and therefore insurance status was not investigated.

Other studies evaluating reasons for PSA screening revealed that either knowing someone with prostate cancer or having a family history of prostate cancer were important determinants of screening for prostate cancer. 11,12 Family history was not explored in this study but should be considered in future investigations. Schottenfeld and Fraumeni report that family history of prostate cancer "appears to be associated with earlier onset of disease in first-degree relatives." Furthermore, men with "one first-degree relative ... had a twofold increase in risk, whereas a positive family history for a second-degree relative was associated with a 70% increase in risk."

One of the risk factors for prostate cancer is age. This study revealed that men aged 70-74 were 70% more likely to be screened than those in the younger age groups. However, 43% of the sample were under age 60 at the beginning of the study. (Age was calculated as of January 1, 1989, the start of the study period.) As previously mentioned, the American Urological Association and the American Cancer Society recommend PSA screening beginning at age 50. Because the study period for this project began soon after implementation of the PSA test, it could be interpreted that, in the early 1990s, physicians were more likely to screen those at highest risk, i.e., men over age 70. Consequently, a longer time period is needed to evaluate any secular trends in PSA screening rates across various age groups.

While African-Americans race have an increased risk of prostate cancer, their screening rates were 80% lower than whites in this study. This finding cannot be generalized to a larger population because there were only 8 African-Americans in this sample of 84 men. Barber et al. reported that African-American men were significantly less likely to "identify early symptoms of prostate cancer and the basic components of a prostate checkup."<sup>13</sup>

Analysis revealed that smokers were approximately 70% less likely to be screened for prostate cancer. Schottenfeld and Fraumeni found no significant differences between never- and ever-smokers, but did report slight increases in mortality from prostate cancer when taking into account cigarettes smoked per day.<sup>2</sup> To date, no studies have reported results concerning cigarette smoking and PSA screening.

Although cases and controls were matched on several variables for the Men's Health Study, confounding still could have occurred in this project. Subjects were not matched for this analysis, but case/control status was taken into account and was found not to be an important predictor of screening status. The number of visits or years knowing the physician could have been confounded by the comorbidity status of the subject. The small sample size and wide variety and number of illnesses reported by many of the subjects precluded the inclusion of a comorbidity variable. Furthermore, the presence of any serious comorbidity might prevent a patient from being screened for prostate cancer.

The potential for misclassification of PSA screening status may have affected study results. However, ongoing physician review of medical records should have minimized, if not eliminated, any such bias.

While a proportional hazards model assumes a constant covariate effect for each point in time, results from this study may have violated such an assumption. Such a violation could be interpreted as "interactions between one or more covariates and time" or an "average effect [of that variable] over the range of times observed in the data."<sup>14</sup>

#### CONCLUSIONS

Although the small sample size of this project precludes the establishment of definitive guidelines for prostate cancer screening, results illustrated some patterns in PSA testing among these subjects. Health-care utilization patterns, age, race, and smoking status all contributed to the predictive model. This preliminary investigation underscores the need for Medicare enrollees or HMO participants who are at risk of developing prostate cancer to undergo PSA testing. However, other policy issues regarding screening demand further clarification. Are tumors detected by screening clinically significant? Does screening generate too many false-positives? Does screening lead to overdiagnosis and treatment resulting in unnecessary morbidity? Ongoing randomized trials have yet to publish answers to these questions. Woolf and Rothemich assert that the "lack of evidence of benefit and the potential harms argue against a societal policy of routine screening... Appropriate policy must discriminate between what is best for populations and for individual patients." Until these debates are resolved, screening decisions should be left to the patient and his physician.



PROSTATE CANCER (Invasive)

AVERAGE ANNUAL AGE-ADJUSTED CANCER MORTALITY. RATES BY STATE, 1992-96

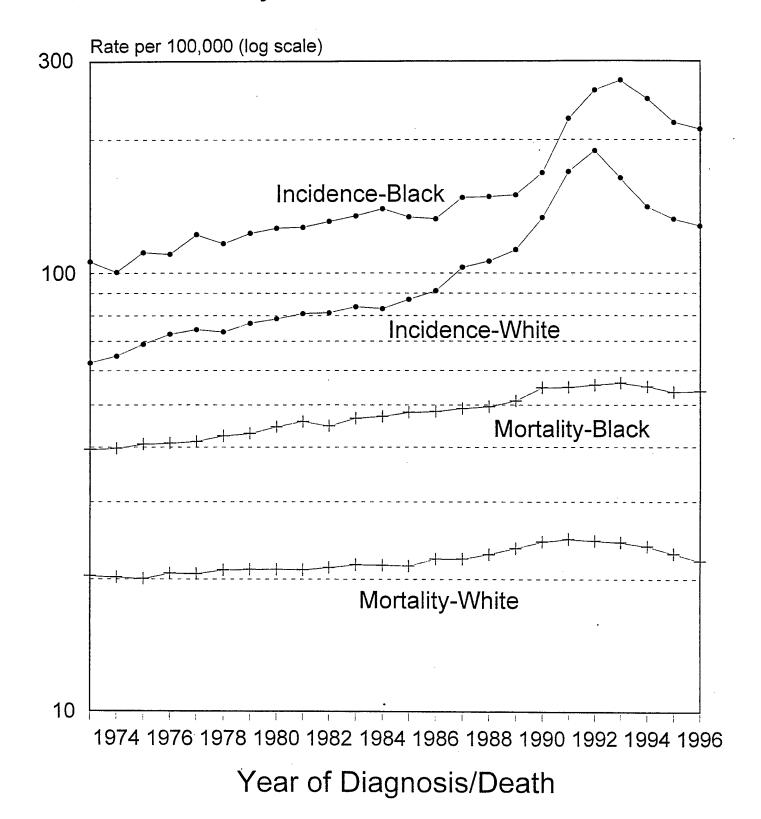
All Races, Males

|              |             |  |             |         |             |         |          |                  | Rank PD |         | _        | _       |             |            |             |                |                      | (24) 2.0 | _        |                 |                               |          | _        | _         | _        | _         | _       | _        | _             | -4            | 0.0           |
|--------------|-------------|--|-------------|---------|-------------|---------|----------|------------------|---------|---------|----------|---------|-------------|------------|-------------|----------------|----------------------|----------|----------|-----------------|-------------------------------|----------|----------|-----------|----------|-----------|---------|----------|---------------|---------------|---------------|
|              |             |  |             |         |             |         |          |                  | SE      | 1.03    | 99.0     | 0.89    | 0.95        | 0.35       | 0.80        | 0.43           | 1.18                 | 0.30     | 0.51     | 0.52            | 07.0                          | 0.64     | 1.08     | 0.45      | 0.26     | 0.84      | 1.39    | 0.46     | 0.42          | 0.67          | 0.44          |
| PD           |             | 77.3                                   | 27.3        | 23.8    | 7.07        | 19.8    | -16.0    | -18.8<br>-34.4   | Rate    | 26.9    | 21.5⊕¢   | 24.9    | 24.6        | 26.3       | 24.8        | 30.4⊕α         | 28.84                | 26.1     | 23.5     | 24.8            | 23.0                          | 32.80¢   | 27.0     | 26.4      | 25.8     | 26.2      | 27.9    | 29.104   | 23.9          | 24.4          | 21.3          |
| Rank         |             | (01)                                   | (03)        | (04)    | (60)        | (47)    | (49)     | (50)<br>(51)     |         |         |          |         |             |            |             |                |                      |          |          |                 |                               |          |          |           |          |           |         |          |               |               |               |
| SE<br>0.06   |             | 1.80                                   | 0.70        | 0.49    | 5           | 0.21    | 99.0     | 1.98<br>0.71     |         |         | _        |         | shire       | ey<br>e    | 0           | rolina         | kota                 |          | _        |                 | dilla                         | Carolina | Dakota   | Đ.        |          |           |         | _        | no            | ginia         | <b>-</b>      |
| Rate<br>25.6 | •           | 45.4⊕¢                                 | 32.6⊕\$     | 31.70¢  | )<br>)<br>) | 23.1⊕   | 21.50    | 20.8¢<br>16.8⊕¢  | State   | Montana | Nebraska | Nevada  |             | New Jersey | New Mexico  | North Carolina | North Dakota         | Ohio     | Oklahoma | Oregon          | Pennisylvania<br>Rhode Island | South Ca | South Da | Tennessee | Texas    | Utah      | Vermont | Virginia | Washington    | West Virginia | <br>Wisconsin |
|              |             |  |             |         |             |         |          |                  | PD      | 12.1    | -18.8    | -9.4    | ر<br>د<br>د | Z.UI-      | 0.01        | 17.2           | 77.3                 | 8.6-     | 23.8     | -34.4           | · α                           | -0.8     | -5.5     | -5.9      | -1.6     | 20.3      | 3.1     | 14.5     | -3.1          | 2.3           | 7.7           |
|              |             | District of Columbia<br>South Carolina | 5           |         |             |         |          |                  | Rank    | (11)    | (20)     | (45)    | (15)        | (48)       | (25)        | (04)           | (01)                 | (41)     | (04)     | (51)            | (10)                          | (29)     | (38)     | (40)      | (30)     | (02)      | (20)    | (08)     | (34)          | (22)          | (52)          |
|              | Five States | District of Co<br>South Carolina       | Mississippi | Georgia | Five States | Florida | Nebraska | ika              | SE      | 0.52    | 1.98     | 0.45    | 0.60        | 8T.0       | 0.00        | 1.33           | 1.80                 | 0.21     | 0.49     | 0.71            | 30                            | 0.42     | 0.52     | 0.57      | 0.51     | 0.57      | 0.87    | 0.52     | 0.39          | 0.33          | 0.40          |
|              | High Five   | Dist                                   | Miss        | Georgia | Low Five    | Florida | Nebr     | Alaska<br>Hawaii | Rate    | 28.7⊕⇔  | 20.80    | 23.2⊕   | 27.I        | 23.000     | 23.3        | 30.00          | 45.4⊕¢               | 23.1⊕    | 31.70    | 16.8⊕¤          | 25.B                          | 25.4     | 24.2     | 24.1      | 25.2     | 30.8⊕¢    | 26.4    | 29.3⊕¢   | 24.8          | 26.2          | 25.9          |
|              |             |  |             |         |             |         |          |                  | State   | Alabama | Alaska   | Arizona | Arkansas    | California | Connectiont | Delaware       | District of Columbia | Florida  | Georgia  | Hawaii<br>Idaho | Tllinois                      | Indiana  | Iowa '   | Kansas    | Kentucky | Louisiana | Maine   | Maryland | Massachusetts | Michigan      | <br>Minnesota |

NCHS public use tape. Rates are per 100,000 and are age-adjusted to the 1970 U.S. standard population. Standard error of the rate.
Percent difference between state rate and total U.S. rate.
Absolute difference between state rate and total U.S. rate is 10% or more.
Difference between state rate and total U.S. rate is statistically significant (p<=.0002).

. SE ⊕

### Cancer of the Prostate U.S. Mortality & SEER Incidence, 1973-1996



Age-adjusted to 1970 Standard

### Table 3

### Eligibility Criteria for PSA Study\*

| <u>Cases</u>                       | <u>Controls</u>  |
|------------------------------------|--|
| Advanced prostate cancer diagnosed | Advanced prostate cancer excluded, but localized cancer acceptable |
| Diagnosed after January 1, 1989    | Diagnosed after case   |
| Age 55-79 at death                 | Matched to case by 5-year age groups                               |
| All races                          | Matched to case by race  |
| Resident of New Jersey             | Resident of New Jersey   |
| Surviving widow                    | Married  |
| If under 65, must have telephone   | If under 65, must have telephone                                   |
| Widow's consent to interview       | Consent to interview   |
| Widow's consent to review medical  | Consent to review medical records                                  |

records

\*From Men's Health Study Grant Proposal

### **TABLE 4**

### Baseline Interview Information\*

Item

Rationale

Name, address, phone number

Identification for future contact

Name, address, phone number of

Close relative

Enables tracing if subject moves

Birth date

For identification/calculation of age

Cases only:

Verify date of death

Obtain approx. date of diagnosis Obtain description of circumstances

leading up to diagnosis

Data check

Check for study eligibility

For correct classification of PSA tests

as either screening or diagnostic

Name and addresses of all hospitals and

physicians seen since 01/01/1989

To obtain medical records and to establish physician utilization patterns that may affect screening status

Date and provider of all PSA tests

Main outcome variable for this study; also checks for completeness of provider

information

History of prostate problems, especially

**BPH** 

BPH is a possible confounder

Medical releases for each hospital and

physician since 01/01/1989

To obtain medical records

Years of education

Surrogate for socioeconomic status

Cigarette smoking status

Lifestyle characteristic

<sup>\*</sup>Protocol information obtained from Men's Health Study Grant Proposal

### FIELD(IdentID)

### Date of Case Diagnosis FIELD(DateDxVeri\_IN) Date of Case Death FIELD(DateDeath(DC2))

| PSA Number #   |   | •••••      | ••••••                                  | #                      |            |             |             |
|--|---|------------|---|------------------------|------------|-------------|-------------|
| PSA Date   | •••••                                   |            | ••••••                                  |                        |            |             |             |
| (Physician Name)                                     |   |            |   | •••                    |            |             |             |
| Physician License Number (F                          | Physician data base                     | e or phys  | sician workshe                          | eet)                   |            |             | -           |
| PSA Result ****                                      | *************************************** | •••••      | •••••                                   |                        | ·<br>- — — | •           |             |
| Free PSA Reference (if done)                         | (Appendix H)                            | •••••      | ••••••••••••••••••••••••••••••••••••••• | — <u>(lo</u>           | • to       | (high)      |             |
| Free PSA Result (if done) ***                        | <b>*</b>                                | ••••••     |   |                        | ·_         |             |             |
| PSA done with DRE, because<br>done because of an abn | ~                                       |            |   | low-up)                | Yes<br>1   | No<br>2     | Unknwn<br>3 |
| What was the date of the DRI                         | E <b>?</b>                              | ••••••     |   | •••••                  |            |             | <del></del> |
| Was PSA done <i>because</i> of a find                | ing on the DRE?                         |            |   |                        | 1          | 2           | 3           |
| Was there any findings on the D                      | RE?                                     |            | •••••                                   |                        | 1          | 2           | 3           |
| Was the DRE finding b                                | enign (BPH)?                            |            |   |                        | 1          | 2           | 3           |
| Was the DRE finding s                                | suspicious?                             |            |   |                        | 1          | 2           | 3           |
| Is this the 1st elevated post-prost                  |   |            |   |                        | 1          | 2           | 3           |
| (Collect PSA's up to and including th                | e 1st diagnostic PSA if                 | available. | Thereafter we only                      | y collect the 1st elev | ated post- | prostatecto | my PSA)     |
| Was this PSA done within 6 m                         | onths of prostate                       | cancer     | diagnosis of t                          | the case?              | 1          | 2           | 3           |
| —— IF LESS THAN                                      | I 6 MONTHS, FLA                         | G THIS     | FOR PHYSIC                              | IAN REVIEW             |            |             |             |
| Reason for PSA                                       |   |            | Circle all                              | Reason Codes           | that ap    | ply         |             |
|  | Yes                                     | No         |   |                        |            | Yes         | No          |
| 1 = pure screening                                   | 1                                       | 2          |   | llow-up abnl PSA       | A          | 1           | 2           |
| 2 = enlargement (no nodule)                          | 1                                       | 2          |   | llow-up neg bx         |            | 1           | 2           |
| 3 = nodule   | 1                                       | 2          |   | nl imaging findi       | ngs        | 1           | 2           |
| 4 = abnl prostate, other<br>5 =prostatism symptoms   |   | 2<br>2     |   | documentation          |            | 1<br>1      | 2<br>2      |
| <b>,</b>   | Physician Rev                           | _          |   |                        |            | -           | _           |
| Dagait w Oh barrasir.                                | ·                                       | •          | •                                       |                        |            |             |             |
| RESULT OF REVIEW                                     | (circle one)                            |            | vand screen                             | invalid scree<br>2     | n          |             |             |
| If validity uncertain check he                       | re to red flag                          |            |   |                        | •••••      |             |             |

|         | TA        | BLE 6   | Cumulative | Cumulative |  |
|---------|-----------|---------|------------|------------|--|
| STATUS  | Frequency | Percent | Frequency  | Percent    |  |
| case    | 48        | 57.1    | 48         | 57.1       |  |
| control | 36        | 42.9    | 84         | 100.0      |  |

| RACE  | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|-------|-----------|---------|-------------------------|-----------------------|
| white | 76        | 90.5    | 76                      | 90.5                  |
| black | 8         | 9.5     | 84                      | 100.0                 |

| SMOKE     | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|-----------|-----------|---------|-------------------------|-----------------------|
| smoker    | 54        | 64.3    | 54                      | 64.3                  |
| nonsmoker | 30        | 35.7    | 84                      | 100.0                 |

| EDUC                            | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|---------------------------------|-----------|---------|-------------------------|-----------------------|
| less than high school           | 9         | 10.7    | 9                       | 10.7                  |
| some high school                | 11        | 13.1    | 20                      | 23.8                  |
| high school diploma             | 29        | 34.5    | 49                      | 58.3                  |
| some college                    | 11        | 13.1    | 60                      | 71.4                  |
| college degree                  | 14        | 16.7    | 74                      | 88.1                  |
| graduate or professional degree | 10        | 11.9    | 84                      | 100.0                 |

| AGEGROUP    | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|-------------|-----------|---------|-------------------------|-----------------------|
| under 60    | 36        | 42.9    | 36                      | 42.9                  |
| 60-64       | 18        | 21.4    | 54                      | 64.3                  |
| 65-69       | 26        | 31.0    | 80                      | 95.2                  |
| 70 and over | 4         | 4.8     | 84                      | 100.0                 |

| YRSKNWN | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|---------|-----------|---------|-------------------------|-----------------------|
| 0       | 30        | 35.7    | 30                      | 35.7                  |
| 1       | 3         | 3.6     | 33                      | 39.3                  |
| 2       | 5         | 6.0     | 38                      | 45.2                  |
| 3       | 9         | 10.7    | 47                      | 56.0                  |
| 4       | 6         | 7.1     | 53                      | 63.1                  |
| 6       | 2         | 2.4     | 55                      | 65.5                  |
| 7       | 3         | 3.6     | 58                      | 69.0                  |
| 8       | 4         | 4.8     | 62                      | 73.8                  |
| 9       | 1         | 1.2     | 63                      | 75.0                  |
| 12      | 3         | 3.6     | 66                      | 78.6                  |
| 13      | 3         | 3.6     | 69                      | 82.1                  |
| 14      | . 2       | 2.4     | 71                      | 84.5                  |
| 17      | 3         | 3.6     | 74                      | 88.1                  |
| 19      | 3         | 3.6     | 77                      | 91.7                  |
| 20      | 3         | 3.6     | 80                      | 95.2                  |
| 21      | 1         | 1.2     | 81                      | 96.4                  |
| 22      | 1         | 1.2     | 82                      | 97.6                  |
| 28      | 1         | 1.2     | 83                      | 98.8                  |
| 41      | 1         | 1.2     | 84                      | 100.0                 |

| EVENT        | Frequency | Percent | Cumulative<br>Frequency | Cumulative<br>Percent |
|--------------|-----------|---------|-------------------------|-----------------------|
| censored     | 48        | 57.1    | <b>4</b> 8              | 57.1                  |
| valid screen | 36        | 42.9    | 84                      | 100.0                 |

### TABLE 7 The PHREG Procedure

Data Set: WORK.PSA

Dependent Variable: SURVIVAL Censoring Variable: EVENT Censoring Value(s): 0 Ties Handling: EFRON

### Summary of the Number of Event and Censored Values

| Total | Event | Censored | Percent<br>Censored |
|-------|-------|----------|---------------------|
| 84    | 36    | 48       | 57.14               |

### Testing Global Null Hypothesis: BETA=0

| Criterion | Without<br>Covariates | With<br>Covariates | Model Chi-Square             |
|-----------|-----------------------|--------------------|------------------------------|
| -2 LOG L  | 260.106               | 228.820            | 31.286 with 12 DF (p=0.0018) |
| Score     | •                     | •                  | 28.273 with 12 DF (p=0.0050) |
| Wald      | •                     | •                  | 21.455 with 12 DF (p=0.0441) |

### Analysis of Maximum Likelihood Estimates

|          |    | Parameter | Standard | Wald       | Pr >       | Risk   |
|----------|----|-----------|----------|------------|------------|--------|
| Variable | DF | Estimate  | Error    | Chi-Square | Chi-Square | Ratio  |
| RACE     | 1. | 0.017033  | 0.80317  | 0.0004498  | 0.9831     | 1.017  |
| SMOKE    | 1  | -0.054873 | 0.45690  | 0.01442    | 0.9044     | 0.947  |
| STATUS   | 1  | -0.022166 | 0.40145  | 0.00305    | 0.9560     | 0.978  |
| AGLT60   | 1  | -0.247507 | 0.47280  | 0.27405    | 0.6006     | 0.781  |
| AG6569   | 1  | -0.637178 | 0.54315  | 1.37618    | 0.2408     | 0.529  |
| AG7074   | 1  | 0.825182  | 0.86750  | 0.90482    | 0.3415     | 2.282  |
| EDUC12   | 1  | 0.615853  | 0.52870  | 1.35688    | 0.2441     | 1.851  |
| EDUC46   | 1  | -0.488463 | 0.50787  | 0.92502    | 0.3362     | 0.614  |
| YRS16    | 1  | 2.040932  | 0.85176  | 5.74142    | 0.0166     | 7.698  |
| YRSGT6   | 1  | 2.843586  | 0.89258  | 10.14934   | 0.0014     | 17.177 |
| VIS0     | 1  | 0.050290  | 0.63980  | 0.00618    | 0.9373     | 1.052  |
| VISGT10  | 1  | -0.115838 | 0.50808  | 0.05198    | 0.8197     | 0.891  |

### TABLE 8

### The PHREG Procedure

Data Set: WORK.PSA

Dependent Variable: SURVIVAL Censoring Variable: EVENT Censoring Value(s): 0 Ties Handling: EFRON

### Summary of the Number of Event and Censored Values

| Total | Event | Censored | Percent<br>Censored |
|-------|-------|----------|---------------------|
| 84    | 36    | 48       | 57.14               |

### Testing Global Null Hypothesis: BETA=0

| Criterion | Without<br>Covariates | With<br>Covariates | Model Chi-Square            |
|-----------|-----------------------|--------------------|-----------------------------|
| -2 LOG L  | 260.106               | 231.788            | 28.317 with 7 DF (p=0.0002) |
| Score     | •                     | •                  | 25.861 with 7 DF (p=0.0005) |
| Wald      |                       | •                  | 19.437 with 7 DF (p=0.0069) |

### Analysis of Maximum Likelihood Estimates

| Variable | DF | Parameter<br>Estimate | Standard<br>Error | Wald<br>Chi-Square | Pr ><br>Chi-Square | Risk<br>Ratio |
|----------|----|-----------------------|-------------------|--------------------|--------------------|---------------|
| RACE     | 1  | 0.587672              | 0.66598           | 0.77866            | 0.3776             | 1.800         |
| SMOKE    | 1  | -0.379083             | 0.40033           | 0.89665            | 0.3437             | 0.684         |
| AGLT60   | 1  | -0.361224             | 0.45472           | 0.63104            | 0.4270             | 0.697         |
| AG6569   | 1  | -0.461264             | 0.49650           | 0.86308            | 0.3529             | 0.630         |
| AG7074   | 1  | 0.524686              | 0.83669           | 0.39325            | 0.5306             | 1.690         |
| YRS16    | 1  | 1.813177              | 0.60381           | 9.01750            | 0.0027             | 6.130         |
| YRSGT6   | 1  | 2.524152              | 0.59276           | 18.13324           | 0.0001             | 12.480        |

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# PHYSICIAN WORKSHEET CASES AND CONTROLS

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

| Physician Name:  |            | I                    | License Number  | er                       |      |                |      |
|--|------------|----------------------|-----------------|--------------------------|------|----------------|------|
| Physician Telephone Number   | ımber      | -                    | (Confirm c      | (Confirm correct number) |      |                |      |
| CONTACT  | DATE       | CONTACT              | DATE            | CONTACT                  | DATE | PROGRESS NOTES | Date |
|  |            |                      |                 |                          |      | Discussed/read |      |
|  |            |                      |                 |                          |      | Mailed         |      |
|  |            |                      |                 |                          |      | Faxed          |      |
|  |            |                      |                 |                          |      | Received       |      |
|  |            |                      |                 |                          |      | Refused        |      |
| Number of physician visits within last 3 years prior to the case diagnosis | its within | last 3 years prior t | to the case dis | agnosis                  |      |                |      |

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Total number of years subject in physician care (prior to case dx) .........

### PSA TESTS

Include all PSA's from 1/1/89 up until case's date of death

| ٠.                 |            |       |         |     |     |   |     |     |     |
|--------------------|------------|-------|---------|-----|-----|---|-----|-----|-----|
| Post-prost PSA?    |            | √PSA  |         |     |     |   |     |     |     |
| DRE                | ·-dene     | v roA |         |     |     |   |     |     |     |
| DRE<br>henian?     | ocuigii.   | √PSA  |         |     |     | • |     |     |     |
| DRE                | ag<br>T    | √ PSA |         |     |     |   |     |     |     |
| DRE                |            | √ PSA |         |     |     |   |     |     |     |
| DRE?               |            | √PSA  |         |     |     |   | -   |     |     |
| REASON             |            | √PSA  |         |     |     |   |     |     |     |
| ORDERING PHYSICIAN | / De A     | VISA  |         |     |     |   |     |     |     |
| REF                |            | √PSA  |         |     |     |   |     |     |     |
| CTS                | FREE       | √PSA  |         |     |     |   |     |     |     |
| RESULTS            | PSA        | √PSA  |         |     |     |   |     |     |     |
| DATE               | MM/DD/YYYY | √PSA  | <br>/ / | / / | / / |   | /_/ | / / | / / |

| DOA Boncon Codon            |                                  | DDE Codos : DDE done? Ending Ending Ending Ending                          |
|-----------------------------|----------------------------------|--|
| l = pure screening          | 6 = follow-up abnml PSA          | Date codes . Date done;, unumg;, unumg ochgni, andmg suspicious? $I = yes$ |
| 2 =  enlargement, no nodule | 7 = follow-up negative bx        | 2 = 0  |
| 3 = nodule                  | 8 = abnml imaging findings       | 3 = unknown  |
| 4 = abnml prostate, other   | 9 = no documentation             |  |
| 5 = prostatism symptoms     | 11 = other                       |  |
| includes fol.               | includes follow-up post-dx PSA's |  |

FIELD(DateDxVeri\_IN)
FIELD(DateDeath(DC2)) FIELD(IdentID)

### BIOPSIES

| meinae au propon | es from 1/1/07 up u | medical an proposes from 17707 up unite case s'aude of aeuth |        |                   |                        |                   |
|------------------|---------------------|--|--------|-------------------|------------------------|-------------------|
| BIOPSY -         | DATE                | RESULT   | REPORT | REPORT U/S VOLUME | REASON                 | DOCTOR PERFORMING |
| SOURCE           | MMDDYYYY            | (in words, not codes)  | SOURCE |                   | CODES (all that apply) |                   |
| Vbx              | √bx                 | ₹bx  |        | Лрх               | ×q.}                   | Уbх               |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |
|                  |                     |  |        |                   |                        |                   |

\* Biopsy sources: prostate needle, prostate TURP, lymph node, bone, & other

1 = abnormal physical finding Reason Codes:

4 = incidental TURP finding 5 = other

2 = symptoms 3 = elevated PSA

# DISEASES & PROCEDURES

- 1) Disease can have occurred prior to 1989, and up until the death of the case
- 2) Any invasive procedure limited to after 1989
- 3) Any prostate or bladder-related procedure without any time restriction going backwards. After case diagnosis, include any non-prostate procedure PLUS those prostate-related procedures that showed a major change in the disease or caused a change in the treatment.

| PROCEDURE RESULTS                                       | √TReg √dis | • |  |  |  |  |  |  |
|---|------------|---|--|--|--|--|--|--|
| PROCEDURE PHYSICIAN                                     | TReg dis   |   |  |  |  |  |  |  |
| PROC<br>DATE<br>mmddyyyy                                | √TReg √dis |   |  |  |  |  |  |  |
| PROC  | √TReg √dis |   |  |  |  |  |  |  |
| PROCEDURE expecially include any prostate-related items | √TReg √dis |   |  |  |  |  |  |  |
| ICD-9   | √dis       |   |  |  |  |  |  |  |
| Year<br>Diag  | √dis       |   |  |  |  |  |  |  |
| DISEASE   | √dis       |   |  |  |  |  |  |  |

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# DISEASES & PROCEDURES

- 1) Disease can have occurred prior to 1989, and up until the death of the case
- 2) Any invasive procedure limited to after 1989

3) Any prostate or bladder-related procedure without any time restriction going backwards. After case diagnosis, include any non-prostate procedure PLUS those prostate-related procedures that showed a major change in the disease or caused a change in the treatment.

|  |                                  |                        |            |   | <br> | <br> | <br> |  |  |
|--|----------------------------------|------------------------|------------|---|------|------|------|--|--|
|  | PROCEDURE RESULTS                |                        | √TReg √dis | • |      |      |      |  |  |
| tment.   | PROCEDURE<br>PHYSICIAN           |                        | √TReg √dis |   |      |      |      |  |  |
| nange in the trea  | PROC<br>DATE                     | mmddyyyy               | √TReg √dis |   |      |      |      |  |  |
| e or caused a cl   | PROC                             |                        | √TReg √dis |   |      |      |      |  |  |
| prostate-retated procedures inal showed a major change in the disease or caused a change in the treatment. | PROCEDURE especially include any | prostate-related items | √TReg √dis |   |      |      |      |  |  |
| и ѕпомеа а т   | 6-QDI                            |                        | √dis       |   | ,    |      |      |  |  |
| orocedures in  | Year<br>Diag                     |                        | √dis       |   |      |      |      |  |  |
| prosidie-reidied   | DISEASE                          |                        | √dis       |   |      |      |      |  |  |

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## **MEDICATIONS**

Restrict medications from 1989 up until date of case death

| PROSTATE RELATED YES/NO/NOT SURE |  |  |  |   |  |  |
|----------------------------------|--|--|--|---|--|--|
| MEDICATION                       |  |  |  |   |  |  |
| PROSTATE RELATED YES/NO/NOT SURE |  |  |  | , |  |  |
| MEDICATION                       |  |  |  |   |  |  |

# OTHER PHYSICIANS

Restrict to physicians who provided care from 1989 up to the date of case death

| NAME | ADDRESS | PHONE |
|------|---------|-------|
|      |         |       |
|      |         |       |
|      |         |       |
|      |         |       |
|      |         |       |
|      |         |       |
|      |         |       |
|      |         |       |

### HOSPITAL WORKSHEET CASES AND CONTROLS

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

| Hospital Name:                                     | Code Number -                  |
|--|--------------------------------|
|  | Ilosp (Confirm correct number) |
| Contact person:                                    | Phone Number:                  |
| Medical Records Telephone Number                   |                                |
|  |                                |
| PROGRESS NOTES IF PSA DONE DURING HOSPITALIZATION: | PITALIZATION:                  |
| Discussed/read                                     | Received                       |
| Mailed   | Refused                        |
|  |                                |

# RECORD TRACKING

| INSURANCE COMPANY (Fee srvc, hmo, ppo other, none,) |             |  |  |  |  |  |  |
|---|-------------|--|--|--|--|--|--|
| ATTENDING OF<br>RECORD                              | √Hosp √doct |  |  |  |  |  |  |
| PROG<br>NOTES<br>(if PSA)                           |             |  |  |  |  |  |  |
| F003  | √Hosp       |  |  |  |  |  |  |
| LABS  |             |  |  |  |  |  |  |
| FACE  |             |  |  |  |  |  |  |
| D/C<br>SUM  |             |  |  |  |  |  |  |
| ADMIT<br>DATE<br>mmddyyyy                           | √Ilosp      |  |  |  |  |  |  |

Number of hospitalizations prior to diagnosis from 1989 but prior to diagnosis ......

 $\sqrt{\text{Hosp}}$ 

### PSA TESTS

restricted from 1989 to date of death of case

| 1  |                                    |  |      | 1 | 1 | <br>т | _ | T | т — |
|--|------------------------------------|--|------|---|---|-------|---|---|-----|
|  | Post-prostatctomy PSA              | ck if yes  | √PSA |   |   |       |   |   |     |
|  | DRE<br>susp.?                      | √PSA   |      |   |   |       |   |   |     |
|  | DRE<br>benign?                     | √PSA   | ,    |   |   |       |   |   |     |
|  | <b>DRE</b><br>finding              | √PSA   |      |   |   |       |   |   |     |
|  | DRE date                           | √PSA   |      |   |   |       |   |   |     |
|  | DRE?                               | √PSA   |      |   |   |       |   |   |     |
| 255  | REASON CODES (list all that apply) | (In addition to the codes, add any notation that may help in elucidating the reason why the test was done) | √PSA |   |   |       |   |   |     |
| and for many for the first the formation of the first the formation of the first the f | REF                                |  | √PSA |   |   |       |   |   |     |
|  | ULT                                | FREE   | √PSA |   |   |       |   |   |     |
| 2027   | RESULT                             | PSA  | √PSA |   |   |       |   |   |     |
|  | DATE                               | I I I I I I I I I I I I I I I I I I I  | √PSA | - |   | ·     |   |   |     |

| des                    | S        | 6         |            |             |                |
|------------------------|----------|-----------|------------|-------------|----------------|
| de                     | ق        |           | - 60       |             | -              |
| 7                      |          |           |            | - 60        | - 71           |
| ~                      |          |           |            | 5           |                |
|                        | 73       |           | 9          | es          | 73             |
| ~                      |          | 10        | de         | des         | ~              |
|                        | ~~       | ğ         | de         | des         |                |
|                        | Ō        | od        | ode        | odes        | ( )            |
|                        | Ģ        | Cod       | Sode       | Codes       | $\sim$         |
| $\mathbf{\mathcal{V}}$ | Ç        | Cod       | Code       | Codes       |                |
| ~                      | Co       | Cod       | Code       | Codes       | Ζ,             |
| 7                      | n Co     | n Cod     | n Code     | n Codes     | ~              |
| 7 11                   | n Co     | n Cod     | n Code     | n Codes     | 9              |
| on C                   | on Co    | on Cod    | on Code    | on Codes    | 6              |
| Son C                  | son Co   | son Cod   | son Code   | son Codes   | ~              |
| ) uost                 | son Co   | son Cod   | son Code   | son Codes   | ~              |
| ) uoso                 | ason Co  | eason Cod | ason Code  | ason Codes  | w              |
| eason (                | eason Co | eason Cod | eason Code | eason Codes | -              |
| e                      | e        | e         | e          | e           | ~~             |
| Reason C               | e        | e         | e          | e           |                |
| e                      | e        | e         | e          | e           |                |
| 4 Reason C             | e        | e         | e          | e           | ~              |
| e                      | e        | e         | e          | e           | $\mathbf{x}$   |
| e                      | e        | e         | e          | e           | $\mathcal{Z}$  |
| e                      | SA Re    | SA Re     | SA Re      | SA Re       | Š              |
| ~~                     | ~        | ā         | de         | des         | $n$ $C_{\ell}$ |

- 1 = pure screening
- 2 = enlargment, no nodule
  - 3 = nodule
- 4 = abnml prostate other 5 = prostatism symptoms

8 = abrunl imaging findings 10 = no doumentation 11 = other (includes follow-up PSA's)

7 = follow-up negative bx6 = follow-up abnml PSA

- DRE Codes: DRE done?, finding?, finding benign?, finding suspicious?
  - 1 = yes
- 2 = no 3 = unknown

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BIOPSIES '

no calendar restriction (see protocol) until date of death of case

| DOCTOR<br>PERFORMING   |  |  | • |  |  |  |
|--|--|--|---|--|--|--|
| REASON<br>CODES<br>(record all that<br>apply)                      |  |  |   |  |  |  |
| ULTRS<br>VOL<br>(if done)  |  |  |   |  |  |  |
| REPORT SOURCE  |  |  |   |  |  |  |
| BIOPSY SOURCE DATE RESULT RESULT  Abx y (In words - no codes)  Jbx |  |  |   |  |  |  |
| DATE<br>mmddyyy<br>y   |  |  |   |  |  |  |
| BIOPSY SOURCE  |  |  |   |  |  |  |

\* Biopsy sources: prostate needle bx, TURP, lymph node, bone lesion

| $Reason\ codes: I = abn$ | onormal physical findings | 4 = incidental findings at TURP |
|--------------------------|---------------------------|---------------------------------|
| 2 = sym                  | mnptoms                   | 5 = other                       |

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# DIAGNOSES & PROCEDURES ON FACE SHEET

- 1) Disease can have occurred prior to 1989, and up to the date of death of the case
- 2) Any procedure from 1989 up to the date of case death
- 3) Any prostate or bladder-related procedure prior to time of case diagnosis without any time restriction going backwards. After case diagnosis, include any non-prostate procedure PLUS those prostate-related procedures that showed a major change in the disease or caused a change in the treatment.

|  |                               |            |   |   | <br> |  |  |  |  |
|--|-------------------------------|------------|---|---|------|--|--|--|--|
| ment.  | PROCEDURE RESULTS OR FINDINGS | √TReg √dis |   |   |      |  |  |  |  |
| non processive is to the singer prostate in the process in a shower a major change in the atsense of caused a change in the treatment. | PROC DOCTOR                   |            |   |   |      |  |  |  |  |
| uiseuse or cuus  | PROC<br>DATE                  | √TReg √dis |   |   |      |  |  |  |  |
| or change in the   | PROC CODE                     | √TReg √dis |   |   |      |  |  |  |  |
| uares mar snowed a may   | PROCEDURE                     | √TReg √dis |   |   |      |  |  |  |  |
| remen bioc   | ICD-9                         | √dis       |   |   |      |  |  |  |  |
| mose prosum  | DISEASE<br>YEAR               | √dis       |   | - |      |  |  |  |  |
| coar i armina d'ami  | DISEASE                       | √dis       |   |   |      |  |  |  |  |
| L L  | ADMIT<br>DATE                 | mmddyyyy   | · |   |      |  |  |  |  |

# DIAGNOSES & PROCEDURES ON FACE SHEET

- 1) Disease can have occurred prior to 1989, and up to the date of death of the case
- 2) Any procedure from 1989 up to the date of case death
- 3) Any prostate or bladder-related procedure prior to time of case diagnosis without any time restriction going backwards. After case diagnosis, include any non-prostate procedure PLUS those prostate-related procedures that showed a major change in the disease or caused a change in the treatment.

|                                  | 20         | T | Ī | l | Г | г | T | Ι | Γ | <br>Π |
|----------------------------------|------------|---|---|---|---|---|---|---|---|-------|
| PROCEDURE RESULTS<br>OR FINDINGS | TReg Idis  |   |   |   |   |   |   |   |   |       |
| PROC DOCTOR                      | ,          |   |   |   |   |   |   |   |   |       |
| PROC<br>DATE                     | JTReg Jdis |   |   |   |   | - |   |   |   |       |
| PROC CODE                        | FReg Idis  |   |   |   |   |   |   |   |   |       |
| PROCEDURE                        | √TReg √dis |   |   |   |   |   |   |   |   |       |
| ICD-9                            | √dis       |   |   |   |   |   |   |   |   |       |
| DISEASE<br>YEAR                  | √dis       |   |   |   |   |   |   |   |   |       |
| DISEASE                          | √dis       |   |   |   |   |   |   |   |   |       |
| ADMIT<br>DATE                    | mmddyyyy   |   | - |   |   |   |   |   |   |       |

## MEDICATIONS

Restrict medications from 1989 up until date of case death

| والمراجعة |                  |            |                  |
|---|------------------|------------|------------------|
| MEDICATION  | PROSTATE RELATED | MEDICATION | PROSTATE RELATED |
|   | YES/NO/NOT SURE  |            | YES/NO/NOT SURE  |
|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            | •                |
|   |                  |            |                  |
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|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            |                  |
|   |                  |            |                  |

# OTHER PHYSICIANS

Restrict to physicians who provided care from 1989 up to the date of case death

| PHONE   |  |  |  |  |
|---------|--|--|--|--|
| ADDRESS |  |  |  |  |
| NAME    |  |  |  |  |

### Cases

### INTERVIEW DATA SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))
FIELD(Address1(DC8d))
FIELD(City(DC8c)), FIELD(State(DC8a)) FIELD(Zip(DC8f))

| Last Name                 | First Name                          | MI                   |
|---------------------------|-------------------------------------|----------------------|
|                           |                                     |                      |
| 71                        |                                     |                      |
| Phone<br>Phone            | <del></del>                         |                      |
| . Name, Address, Phone nu | ımber of a close friend or relative |                      |
| NT                        |                                     |                      |
| Address                   |                                     |                      |
|                           |                                     |                      |
| Phone                     |                                     |                      |
| Phone                     |                                     |                      |
| Birth Date:               |                                     | FIELD(DOB(DC))       |
| Date of Death:            |                                     | FIELD(DateDeath(DC2) |
| Date of Diagnosis verif   | ied: first:                         | ·····                |
| Date of Diagnosis old .   |                                     | ·····                |
|                           | Disposition                         |                      |
| iterviewer:               | Disposition Data Entry A            | : Date:              |
| Date of Interview:        |                                     |                      |
| /A Person:                |                                     | Date:                |
| Date of Review:           | · _                                 |                      |
|                           |                                     | iew Date:            |

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|        | Physician: |                          |                        |                |
|--------|------------|--------------------------|------------------------|----------------|
| 7.     | -          | hospitals before diagnos | sis of prostate cancer |                |
|        |            | 0                        | 1                      |                |
|        |            |                          |                        |                |
| Name   |            |                          |                        |                |
|        |            | Specialty code           |                        |                |
| Addre  | ess        | חו                       | N.T.                   |                |
|        |            | Phone                    | Name_                  | 5 . 1.         |
|        |            |                          | Specialty              | Specialty code |
| Name   | ;          |                          | Address                | Phone          |
| Specia | alty       | Specialty code           |                        | 1 none         |
| Addre  | ess        |                          | •                      |                |
|        |            | Phone                    | Name                   |                |
|        |            |                          | Specialty              | Specialty code |
|        |            |                          | Address                |                |
| Name   |            |                          |                        | Phone          |
| Specia | alty       | Specialty code           |                        |                |
| Adare  | ess        | Dhana                    | <b>N</b> T             |                |
|        |            | Phone                    | Name                   | Consistence    |
|        |            |                          | Address                | Specialty code |
| Name   | •          |                          | Address                | Phone          |
| Specia | alty       | Specialty code           |                        | Thone          |
| Addre  | ess        |                          |                        |                |
|        |            | Phone                    | Name                   |                |
|        |            |                          | Specialty              | Specialty code |
|        |            |                          | Address                |                |
| Name   | N/s        |                          |                        | Phone          |
| Specia | шу         | Specialty code           |                        |                |
| Addre  | ss         | Dhana                    | Mana                   |                |
|        |            | Phone                    | Name                   | Crosialty and  |
|        |            |                          | Address                | Specialty code |
| Name   |            |                          | riddress               | Phone          |
|        |            | Specialty code           | -                      |                |
| Addre  | ss         |                          |                        |                |
|        |            | Phone                    | Name                   |                |
|        |            |                          | Specialty              | Specialty code |
|        |            |                          | Address                |                |
| Name   | 14         | 0 11 1                   |                        | Phone          |
| Specia | lty        | Specialty code           |                        |                |
| Addie  | SS         | Phone                    | Nama                   |                |
|        |            | FHORE                    | Name                   | Charielty and  |
| Name   |            |                          | Specialty Address      | Specialty code |
|        | lty        | Specialty code           | * ***** ****           | Phone          |
| Addre  | ss         |                          |                        |                |
|        |            | Phone                    | Name                   |                |
| 07/09  | /97        |                          |                        |                |

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| Specialty   | Specialty code               |        |                            |           |           |      |
|---|------------------------------|--------|----------------------------|-----------|-----------|------|
| Address   | Phone                        |        |                            |           |           |      |
|   | -                            |        |                            |           |           |      |
|   |                              |        |                            |           |           |      |
| YT.   | istamy of Duagtota Duahlam   |        | C                          |           |           |      |
| п   | istory of Prostate Problem   | s and  | Symptoms                   |           |           |      |
| 8. Ever have symptoms   | s related to the urinary tra | ict?   |                            | yes       | no        | unk  |
|   |                              |        |                            |           |           | wn   |
|   |                              | (circ  | cle one)                   | 1         | 2         | 9    |
| <i>If yes</i> to above  | _                            |        |                            |           |           |      |
| - ·   | ns related to the urinary s  | ystem  |                            |           |           |      |
| (see manual)  | •                            | yes    | no                         | unkwn     | Dat       |      |
| Problem Codes   |                              |        | (circle one)               | <b>\</b>  | MMI       | DDYY |
|   | 1) incomplete emptying       | 1      | 2                          | 3         |           |      |
| (554 5 <b>5 F F 7 7 7 7 7 7 7 7 7 7</b>                                   | 2) frequency                 | 1      | 2                          | 3         |           |      |
|   | 3) intermittency             |        | 2                          | 3         |           |      |
|   | 4) urgency                   | 1      | 2                          | 3         |           |      |
|   | 5) weak stream               |        |                            | 3         |           |      |
|   | 6) straining                 |        | 2                          | 3         |           |      |
|   | 7) nocturia                  | 1      | 2                          | 3         |           |      |
|   | 8) hematuria                 | 1      | 2                          | 3         |           |      |
|   | 10) other                    |        | 2                          | 3         |           |      |
|   |                              |        |                            |           |           |      |
| 9. Ever Require a phys  | ician's attention for a urin | ary or | prostate p                 | roblem?   |           |      |
|   | yes                          | no     | unkwn                      |           |           |      |
|   | (circle one) 1               | 2      | 9                          |           |           |      |
| <i>If yes</i> to above  | (                            | _      |                            |           |           |      |
|   | prostate problems requiri    | ing ph | vsician's at               | tention ( | (see manu | al)  |
|   |                              |        | endix B)                   |           | (         | )    |
|   |                              |        |                            |           |           |      |
| 1) benign prostatic hypertroph  | •                            |        | rmal prostate              |           | nodule    |      |
| <ul><li>2) obstruction (blockage)</li><li>3) prostate infection</li></ul> |                              |        | cation for prostate cancer | state     |           |      |
| 4) bladder, kidney, or urinary  |                              |        | aturia (blood i            | n urine)  |           |      |
| 5) kidney or bladder stones   | 1:                           |        |                            |           |           |      |
| 6) prostate nodulenot biopsi  | ied                          |        |                            | _         |           |      |
| 7) prostate nodule biopsied   |                              |        |                            |           |           |      |
| 07/09/97  | 12/14/00                     |        |                            |           |           |      |
| INTRVW A.WPD  | 12/14/99                     |        |                            |           |           |      |

1= internist

Specialty codes
4 = urologist
ner 5 = oncologist

9 = unknown or not sure

2 = family practioner

3 = general practioner 6 = other

| Calendar Year | Problem<br>Code |                               |                |
|---------------|-----------------|-------------------------------|----------------|
|               |                 | ****                          |                |
|               |                 |                               |                |
|               |                 | Name<br>Specialty<br>Address  | Specialty code |
|               |                 |                               | Phone          |
|               |                 | NameSpecialtyAddress          | Specialty code |
|               | · ·             | NameSpecialtyAddress          | Specialty code |
| ·             |                 | Name_<br>Specialty<br>Address | Specialty code |
|               |                 | Address                       | Specialty code |
|               |                 |                               | Specialty code |
|               |                 |                               | Phone          |

07/09/97 INTRVW\_A.WPD

| Name                  |                   | <del></del>  |   |    |  |           |
|-----------------------|-------------------|--------------|---|----|--|-----------|
| Specialty             | Specialty code    |              |   |    |  |           |
| Address               |                   |              |   |    |  |           |
|                       | Phone             | -            |   |    |  |           |
|                       |                   |              |   |    |  |           |
| Name                  |                   | _            |   |    |  |           |
| Specialty             | Specialty code    |              |   |    |  |           |
| Address               |                   |              |   |    |  |           |
|                       | Phone             | _            |   |    |  |           |
|                       |                   |              |   |    |  |           |
| Name                  |                   | _            |   |    |  |           |
| Specialty             | Specialty code    |              |   |    |  |           |
| Address               |                   |              |   |    |  |           |
| _                     | Phone             | -            |   |    |  |           |
|                       |                   |              |   |    |  |           |
| 10. Ever have a blood | l test for the pr | ostate?      |   | ує | es no                                  | unk<br>wn |
| If yes to above       | •                 | (            | (circle one)  | 1  | 2                                      | 9         |
| T)                    |                   |              |   |    |  |           |
| Prostate blood tests: | Sne               | cialty codes |   |    |  |           |
|                       | Spe               | ·            | 1= internist<br>2= family pract<br>3= general pract<br>4= urologist |    | 5=oncologist<br>6= other<br>9=not sure |           |
| 07/09/97              |                   |              | _   |    |  |           |
| INTRANA WPD           | 12/               | 14/00        |   |    |  | ,         |

### FIELD(IdentID)

| Year      | Reason                           | Nml/Abnml     |           |                  |
|-----------|----------------------------------|---------------|-----------|------------------|
|           | 1=screening                      | l= nml        | Name      |                  |
|           | 2=subject request                |               | Specialty | Specialty code   |
|           | 3=symptom or findings            |               | Address   |                  |
|           | 4=other                          |               |           | Phone            |
|           | 9=not sure                       |               |           |                  |
|           | 1=screening                      | l= nml        |           |                  |
|           | 2=subject request                |               | Name      |                  |
|           | 3=symptom or findings            |               | Specialty | Specialty code   |
|           | 4=other                          |               | Address   |                  |
|           | 9=not sure                       |               |           | Phone            |
|           | 1                                | 1 1           | Name      |                  |
|           | 1=screening 2=subject request    | I= nml        | Specialty | Specialty code   |
|           | 3=symptom or findings            |               | Address   | specialty code _ |
|           | 4=other                          | )—not sure    | Tiddicss  | Phone            |
|           | 9=not sure                       |               |           |                  |
|           | 1=screening                      | 1= nml        | Name      |                  |
|           | 2=subject request                | 2= abnml      | Specialty | Specialty code   |
|           | 3=symptom or findings            |               | Address   | specialty code _ |
|           | 4=other                          | , 110 v D W 1 | 11441000  | Phone            |
|           | 9=not sure                       |               |           |                  |
|           | 1                                | <del></del>   |           |                  |
|           | 1=screening<br>2=subject request | 1= nml        |           |                  |
|           | 3=symptom or findings            |               |           |                  |
|           | 4=other                          | 9-110t Suite  |           |                  |
|           | 9=not sure                       |               | •         |                  |
|           |                                  |               |           |                  |
|           | •                                |               |           |                  |
|           |                                  |               |           |                  |
|           |                                  |               |           |                  |
|           |                                  |               |           |                  |
|           |                                  |               |           |                  |
|           |                                  |               |           |                  |
| me        |                                  |               |           |                  |
| Specialty |                                  | Specialty     |           |                  |
| de        |                                  |               |           |                  |
| ldress    |                                  |               |           |                  |
|           | Pho                              | ne            |           |                  |
|           | 1 110.                           |               |           |                  |

07/09/97 INTRVW\_A.WPD

### SOCIOECONOMIC DATA

| 11. | Years of edu                         | ucation:              | (see manual)   |                     |                                 |     |   | (ci   | rcle           | one)        |    |
|-----|--------------------------------------|-----------------------|--|---------------------|---------------------------------|-----|---|-------|----------------|-------------|----|
|     | 1) no HS<br>2) some HS<br>3) HS grad | 5) coll               | e college<br>ege degree<br>l. or professional on<br>nown | degree              | 1                               | 2   | 3 | 4     | 5              | 6           | Ç  |
| 12. | Usual occu<br>(see manual &          | -                     |  | (name or (unknown = |                                 |     |   |       | onal<br>vn = 9 |             |    |
|     | f no usual occu<br>ommonly done      |                       |  |                     |                                 |     |   |       |                |             |    |
|     |                                      |                       |  | (name or<br>(unknow | type )<br>wn = 9999)            |     | C |       |                | nal coo     | le |
| 13. | Medical in                           |                       | atus before age 6  | 5 (see manual)      |                                 |     |   |       |                |             |    |
| 1)  | ) Medicaid                           | 4) other              |  | _                   | company na                      | me  |   |       | code           | <del></del> | -  |
| •   | ) HMO or PPO<br>) fee for service    | 5) none<br>9) unknowi | 1  |                     | (unknown = 999                  | 99) |   | (unl  | known          | ı = 99)     |    |
| 14. | Medical insur                        | ance statu            | s leading up to _  |                     |                                 |     |   |       |                |             |    |
|     |                                      |                       | (yea   | ir case diagnosed)  |                                 |     |   |       |                |             |    |
| 11  | Code                                 |                       |  |                     |                                 |     |   |       |                | <u> </u>    | _  |
|     | ) Medicare<br>) HMO or PPO           | 4) other<br>5) none   |  |                     | company national (unknown = 99) |     |   | (unl  | code           | ;<br>= 99)  |    |
|     | ) fee for service                    | 9) unknow             | n  |                     | (almilowii - 33)                | )   |   | (uill | MINOWI         | 233         |    |

### OTHER POTENTIAL RISK FACTORS FOR PROSTATE CANCER AND MORTALITY

NUTRITIONAL ITEMS, ALCOHOL, & SMOKING

07/09/97

INTRVW\_A.WPD

| 15. | Cigarette smoking  |  | Yes | No        | unkwn             |  |
|-----|--|--|-----|-----------|-------------------|--|
|     |  | (circle one)   | 1   | 2         | 9                 |  |
|     | If (age @ death if still smoking)  | yes, age of onse<br>age stopped<br>packs per day                     | -   |           | ,<br>9.99)        |  |
| 16. | Alcohol Intake   | Ye (circle one) 1  |     | No ι<br>2 | ınkwn<br>9        |  |
|     |  | (circle one)   | •   | ۷         | 9                 |  |
|     | If (age @ death if still drinking)   | yes, age of onse<br>age stopped:<br>drinks per week                  |     |           |                   |  |
|     | (only if less than 1 drink per week)   | drinks per mont  | h:  | ınknowns  | s = 999)          |  |
| 17. | Meat intake, times per week as main course of meal (enter 0 if less than 1 per week)  2 years prior to diagnosis: 19 |  |     | _         | own = 99)         |  |
| 18. | Multivitamin intake:  2 years prior to diagnosis: 19   | Ye   | s N | √o u      | ınkwn             |  |
|     |  | (circle one) 1   | 2   | 2         | 9                 |  |
|     | (age @ death if still taking)  (only if less than 1 pill per day, enter 0 if less than 1 per week)                   | If yes, age of or<br>age stopped:<br>pill per day:<br>pills per week |     | (unkno    | <br><br>own = 99) |  |

12/14/99

|                              |                      |                 | nents<br>sis: 19 |             |                              | Yes               | No      | unkwn               |
|------------------------------|----------------------|-----------------|------------------|-------------|------------------------------|-------------------|---------|---------------------|
| If yes, wh                   |                      |                 |                  | . <u> </u>  | (circle one)                 | 1                 | 2       | 9                   |
|                              | Yes                  | No              | Unkn             | age started | age stopped (or age @ death) | pills per         | week    | strength            |
| vitamin A                    | 1                    | 2               | 9                |             | (== -6- @ =-==-)             |                   |         |                     |
| vitamin C                    | 1                    |                 | 9                |             |                              |                   |         |                     |
| vitamin D                    | 1                    | 2               | 9                |             |                              |                   |         |                     |
| vitamin E                    | 1                    |                 | 9                | <del></del> |                              |                   |         |                     |
| Other supp. 1                | 1                    | 2               | 9                |             | <del></del>                  | <del></del>       |         |                     |
| Other supp.2                 |                      | 2               | 9                | <del></del> | <del></del>                  |                   |         |                     |
|                              |                      |                 |                  |             | (unk                         | <br>(nown= 99)    | (unkr   | — — —<br>nown= 999) |
| supplement n                 |                      |                 |                  |             | _ `                          | ,                 | •       | ,                   |
| •                            |                      |                 |                  | n= 9999)    | _                            |                   |         |                     |
|                              |                      |                 |                  |             |                              |                   |         |                     |
|                              |                      |                 |                  | Anthromorp  | hic Factors                  |                   |         |                     |
| 20. Height<br>2 years p      | prior to             | diagno:         | sis: 19          |             |                              | ,<br>(unknown = 9 |         | feet, inches)       |
| 21. Weight (a<br>2 years p   | verage<br>prior to   | e)<br>diagnos   | sis: 19          | <br>—       |                              | (unknow           |         | (pounds)            |
| 22. Weight (n<br>2 years p   | naximu<br>orior to   | ım)<br>diagnos  | sis: 19          |             |                              | (unknow           |         | (pounds)            |
| 23. Jacket size<br>2 years p | e (avera             | age)<br>diagnos | sis: 19          | <br>—       |                              | <br>(unknow       |         | (inches)            |
| 24. Waist size<br>2 years p  | (avera               | ge)<br>diagnos  | sis: 19          | <del></del> |                              | (unknow           | n = 99) | (inches)            |
| 25. Baldness pa<br>2 years p | attern<br>orior to d | diagnos         | sis: 19          |             |                              |                   | (с      | ircle one)          |
| no h                         | air loss             | ;               |                  |             | ı                            | (type a)          | 1       |                     |
| mild                         | recedi               | ng hai          | rline (ter       | nple areas) |                              | (type l           |         | 2                   |
| 07/09/97                     |                      | _               | `                | - /         |                              | ( ) r · ·         | , -     |                     |
| NTRVW A WPD                  |                      |                 |                  | 12/14/90    |                              |                   |         |                     |

| 900000000000000000000000000000000000000 | 9 |   |  |
|---|---|---|--|
| FIELD(IdentID                           | ١ | L |  |
| HIRRESTEINANTIIL                        | 8 | ı |  |
|   | 1 | ı |  |
|   |   |   |  |

moderate receding hairline on front and sides (type c) 3 above plus loss over the top or back (vertex) (type d) complete baldness or some residual on back & sides (type e) unknown 26. At what age did your husband first start losing hair? (If no hair loss, enter age @ death) (unknown = 99)Age at death: FIELD(AgeDeath(DC)) 27. Did your husband ever have a vasectomy? Yes No unkwn 1 (circle one) 9 28. At what age did your husband have a vasectomy? (unknown=99) 29. Would you like the results of the study? (3-4 years?) Yes No unkwn 1 (circle one) 30. If it is necessary, would you agree to sign an authorization to give to doctors who request them? Yes No unkwn

(circle one)

1

2

9

#### Tumor Registry (PCa DIAGNOSIS ABSTRACT SHEET) ---- Cases & Controls with PCa

| FIELD(LastName(DC1)), FIELD(FirstNameMIFIELD(Address1(DC8d)) FIELD(City(DC8c)), FIELD(State(DC8a)) FIE |                   |         |             |                |
|--|-------------------|---------|-------------|----------------|
| SS # ( DC6)  |                   | FIELL   | (SS#(D      | C6))           |
| Date of Death (DC2)  |                   | FIE     | LD(Dat      | eDeath(DC2)    |
| Age at Death (DC5a) - (must be 55-79 inclusive)  | ·····             |         | FIELI       | (AgeDeath(DC)) |
| NJ Resident (DC) - (must be yes) (circle   | one)              |         |             | Unknown<br>9   |
| **** If any of the above is not satisfied, r   | nust review with  | project | directo     | )r ****        |
| Is subject registered in NJTR for this cancer? (circle   |                   |         | Yes<br>1    | No<br>2        |
| Date of Diagnosis: (NJTR22)  | 1/01/89 or later) |         |             |                |
| Primary Site Code (NJTR 23) (must be 619 0)  |                   |         | <del></del> | <del></del>    |
| Disposi  | tion              |         |             |                |
| Interviewer:   | Data Entry A      | : Date  | :           |                |
| Date of Interview:   | Person            | :       |             |                |
| Q/A Person:  | Data Entry B      | : Date  | ·           |                |
| Date of Review:  | Person            | :       |             |                |
|  | Physician Rev     | iew D   | ate:        |                |
|  | Physic            | ian:    |             |                |

11/19/99 TReg\_ab.wpd

|                          | NJTR2):Address 1  |                                       |               |
|--------------------------|---|---------------------------------------|---------------|
|                          | NJTR2):Address 1 Address 2  | State                                 | Zip           |
|                          | · ·   | Muni code                             | - <del></del> |
| Address, last current (N | NJTR2a):Address 1Address 2  | City                                  |               |
|                          | Address 2   | State                                 | Zip           |
|                          |   | Muni code                             |               |
| Reporting Facility, Inde | x Dx (NJTR 14)  | · · · · · · · · · · · · · · · · · · · |               |
|                          | facility codes  |                                       |               |
| (                        | 09700 = private medical practitioner, surgicen  | ter                                   |               |
|                          | 09900 = private lab, nursing home   |                                       |               |
|                          | 99000 = death certificate only  |                                       |               |
| ;                        | all others are NJTR hospital codes  |                                       |               |
|                          |   |                                       |               |
| _                        | irst (NJTR 21) (circle one) Facility name & code  | 1 2                                   |               |
| _                        | (circle one) Facility name & code   | 1 2                                   | <del></del>   |
| _                        | (circle one)  | 1 2                                   |               |
| If yes, where:           | (circle one) Facility name & code Address 1 Address 2                                   | 1 2 City State Z                      |               |
| If yes, where:           | (circle one) Facility name & code Address 1 Address 2  TTR 30)Name: Address 1           | 1 2 City Z State Z                    | ip            |
| If yes, where:           | (circle one) Facility name & code Address 1 Address 2  TTR 30)Name: Address 1 Address 2 | 1 2  City State Z  City State Z       | ip            |
| If yes, where:           | (circle one) Facility name & code Address 1 Address 2  TTR 30)Name:                     | 1 2  City State Z  City State Z       | Cip           |

| Method of Diagnosis, index of   | diagnosis (NJTR26,hosp  | ital/physician)   |                       |             | <del></del><br>ī. |
|---|---|---|-----------------------|-------------|-------------------|
| Method Codes:   | <ul> <li>1 = prostate biopsy</li> <li>2 = surgical specimen</li> <li>3 = other diagnostic findir<br/>physician clini</li> </ul> | ngs <b>(not tissue)</b><br>cal presumptive diagnosis (  | ísee protocol)        | cod         | e                 |
| Diagnostic Findings other that (determination of prosta   | n biopsy or surgical spec<br>ate cancer through means o   | <del>_</del>  | Yes                   | No          | Unknown           |
| (circle one)<br>(circle one)<br>(circle one)  | Indurated prostate or no  | odule   | 1                     | 2<br>2<br>2 | 9<br>9<br>9       |
| If PSA  | A > then level and reference  | e(Actual Level)   | to<br>(Reference Leve | :1)         |                   |
| ** Note: IF DIAGNOSIS IS N<br>ABOVE DIAGNOSTIC  |   | DINGS, OR A PRESUMPTI<br>CIAN REVIEW IS NECESS.   |                       |             |                   |
| Symptomatic mets at or before   | re death?   |   | Yes                   | No          | unkwn             |
|   |   | (circle one)  | 1                     | 2           | 9                 |
| ** NOTE: IF NO SYMPTOM  Index Tissue: Histological ty   | pe, behavior, and grade   | : (NJTR25): Histol  | ogy code              |             | rinuing **        |
| His   | (see A<br>tology Codes:   | ppendix D)  | Behavior cod<br>grade | de<br>code: | -<br>_            |
| Adenocarcinoma 8140<br>Acinar Cell Carcinoma 85:<br>Infiltrating Duct Carcinom<br>Transitional cell type 8120 | 50 sarcoma 8800<br>a 8500 Rhabdomyosarco  |   |                       |             |                   |
| Behavior Codes:<br>in situ = 2<br>invasive = 3  | grade codes:  | well differentiated = 1<br>mod differentiated = 2<br>poorly differentiated = 3<br>unknown = 9 |                       |             |                   |
| ** NOTE: IF MORE THAN ONE   | SOURCE OF SAMPLE, A   | LWAYS CODE THE MORE   | ADVANCED CA           | NCER S      | PECIMEN **        |

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| Summary Stage of di                             | sease (NJTR27)  |   |          |             |        |            | <br>code  |      |
|---|---|---|----------|-------------|--------|------------|-----------|------|
| 0 = in situ<br>1 = localized<br>2 = regional, d | 3 = regional, lymp<br>4 = regional, 2 & to<br>irect 5 = regional, NOS | 3                                       |          | stant met   | S      |            |           |      |
|   | Score: (Hospital / Physicia<br>piopsy or surgical specimen,           |   |          |             |        |            | 9 = unkno | own) |
| Initial Work-up or S                            | taging Procedures .(hospita   | al/physician).                          | . Yes    | No          | Unkwn  | Date       | Pos       | Neg  |
| (circle one)                                    | CT scan   |   | 1        | 2           | 9      |            | ]         | . 2  |
| (circle one)                                    | MRI   |   | 1        | 2           | 9      | <u> </u>   |           |      |
| (circle one)                                    | Bone scan   |   | 1        | 2           | 9      |            | ]         | . 2  |
| (circle one)                                    | Lymphangiogram  |   | 1        | 2           | 9      |            |           | . 2  |
| (circle one)                                    | PSA   |   | 1        | 2           | 9      |            | 1         | 2    |
| (circle one)                                    | Other   |   | 1        | 2           | 9      |            | _         | . 2  |
| (circle one)                                    | Other   |   | 1        | 2           | 9      |            |           | . 2  |
| If positive list                                | results here:   |   |          |             |        |            |           | _    |
|   | linical) Stage: (hospital/phyppendix E for codes)                     |   | oreted c |             | T<br>T | N M<br>N M | <u>.</u>  |      |
|   | ng A-D System: (see Append des { xx = not assessed }                  | 000000000000000000000000000000000000000 | reted c  |             |        |            |           | i    |
| Initial Pathological (S                         | Surgical) Stage: (hospital/p  |   |          | urgical     | T      | N M<br>N M |           |      |
| Stagir  | ng A-D system   |   |          | <br>urgical |        |            |           | .]   |
|   | ial Surgical Specimen (hos  |   |          |             |        |            | = unknow  | m)   |

#### First Course, Cancer-Directed Therapy

| Date therapy initiated (NJTR36)   | nodes  |
|---|--|
|   | nodes  |
| NCD Surgical codes Cancer-Directed Surg   | nodes  |
|   |  |
|   | with lymph node dissection<br>lymph node dissection<br>ith lymph node dissection<br>without lymph node dissection<br>nodes, distant sites, distant nodes |
| Reason for No Cancer-Directed Surgery (NJTR 38):  |  |
| Reason codes  0 - cancer - directed surgery performed  1 - cancer - directed surgery not recommended  2 -contraindicated due to other conditions  6 - unknown reason  7 - patient or guardian refused  8 - recommended, unknown if done  9 - unknown if cancer - corrected surgery done |  |
| NJTR Codes MM DD  | YY   |
| Radiation (NJTR40)  |  |
| First Course Treatment Hospital Code (Rx.Hosp code from NJTR)   |  |

| Recurrence Date  | •   |                                |  |
|--|---|--------------------------------|--|
| Recurrence Site:   |   |                                | MM DD YY   |
| Site codes   | 0 = no distant mets $1 = peritoneum$  | 5 = bones - other than primary | code   |
|  | Se  | econd Course of Therapy        |  |
| Date therapy init  | iated (NJTR36)  | ······                         |  |
| Surgical   | <b>code</b> (NJTR37)  | <u> </u>                       |  |
| NCD Surg   | gical codes   | Cancer-Directed Surgica        | el codes   |
| than prim 02 - incisional nee 03 - exploratory, n 04 - bypass, no bio 05 - exploratory pl 06 - bypass plus b 07 - NOS 09 - unknown | edle, or aspiration of other ary site dle or aspiration, primary site o biopsy psy us biopsy dissection iopsy distant nodes  Sancer-Directed Surgery (NJ odes 0 - cancer - directed 2 - contraindicated 6 - unknown reacted 7 - patient or guaranteed 8 - recommended |                                | lymph node dissection lymph node dissection uph node dissection lymph node dissection whout lymph node les, distant sites, |
| F<br>C<br>H<br>Li<br>C   | Radiation (NJTR40)  | 41)                            | MM DD YR   |

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Date Case Diagnosis FIELD(DateDxVeri\_IN)

|  | Date Case Diagnosis FIELD(DateDxVeri_IN) |
|--|--|
| Second Course Treatment Hospital Code (Rx.Hosp code from NJTR) |  |
| Date last followed up (NJTR46)                                 | ···                                      |
| Follow-up Status   | code                                     |
| l=alive  |  |
| 4 = dead   |  |

Cases & Controls

**BIOPSY SUBFILE SHEET** 

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

| Date entered | Initials | Q/A Person | Date |
|--------------|----------|------------|------|
|              |          |            |      |
|              |          |            |      |
|              |          |            |      |

| Biopsy Number #                         |  | ······································   |             | <del></del>           |               |
|---|--|--|-------------|-----------------------|---------------|
| Biopsy Date                             |  |  |             |                       |               |
| (Physician Name)                        |  |  |             |                       |               |
| Physician License Nun                   | nber   | ·····  |             |                       |               |
| Biopsy Source                           |  |  |             |                       |               |
|   | Codes  |  |             |                       |               |
| 1 = prostar<br>2 = prostar<br>3 = lymph | te, TURP                                     | 4 = bone<br>5 =other<br>9 = unknown  |             |                       |               |
| Biopsy Results                          |  |  | Bior        | osy Co                | de            |
| ( See appendix D)                       |  | Biopsy codes   | - 1         |                       |               |
| 2=                                      | = negative<br>=benign<br>= prostatic intraep | 4= adenocarci<br>5= CA other _<br>pithelial neplasia (PIN) 9= unknown c        |             |                       |               |
| Reason for Biopsy                       | •••••  | ······   |             |                       |               |
|   |  | Reason Codes   | . Yes       | No                    |               |
|   |  | Abnormal physical finding Symptoms Elevated PSA Incidental TURP findings Other | 1<br>1<br>1 | 2<br>2<br>2<br>2<br>2 |               |
| Ultrasound volume done                  |  |  | 1           | 2                     | 9 .           |
| Ultrasound volume deter                 | mination                                     |  |             | (gr                   | Unkwn<br>ams) |
| Ploidy available?                       |  | ck if yes  | •           | 9=unknwr              | 1)            |
| PIN mentioned ?                         |  | ck if yes  | )           |                       |               |

| Biopsy Number #  |  |             |                  |            |
|--|--|-------------|------------------|------------|
| Biopsy Date  |  |             |                  |            |
| (Physician Name)   |  |             |                  |            |
| Physician License Number                                     |  |             |                  |            |
| Biopsy Source  |  |             |                  |            |
| 1 = prostate, needle<br>2 = prostate, TURP<br>3 = lymph node | 4 = bone<br>5 =other<br>9 = unknown                                      |             |                  |            |
| Biopsy Results   |  | Biop        | sy Co            | de         |
| ( See appendix D)  | Biopsy codes   |             |                  |            |
| 1= negative<br>2=benign                                      | 4= adenocarcin<br>5= CA  |             | <b>4</b> 0)      |            |
| 3= prostatic intraepith                                      | nelial neplasia (PIN) 9= unknown or                                      | unsure      |                  |            |
| Reason for Biopsy  | ••   |             |                  |            |
|  | Reason Codes   | Yes         | No               |            |
|  | Abnormal physical finding Symptoms Elevated PSA Incidental TURP findings | 1<br>1<br>1 | 2<br>2<br>2<br>2 |            |
|  | Other  | 1           | 2                |            |
| Ultrasound volume done                                       |  | 1           | 2                | 9<br>Unkwn |
| Ultrasound volume determination                              |  |             |                  | ams)       |
| Ploidy available ?   | ck if yes  | (999        | 9=unknwi         | 1)         |
| PIN mentioned ?  | ck if yes 🗖  |             |                  |            |

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| Biopsy Number # .                          |  |  |                  |                       |            |
|--|--|--|------------------|-----------------------|------------|
| Biopsy Date                                |  |  | <u> </u>         | <del>-</del> -        |            |
| (Physician Name)                           |  |  |                  |                       |            |
| Physician License N                        | Number                                     |  |                  |                       |            |
| Biopsy Source                              | Codes                                      |  |                  |                       |            |
| 2 = pro                                    | ostate, needle<br>ostate, TURP<br>nph node | 4 = bone<br>5 =other<br>9 = unknown  |                  |                       |            |
| Biopsy Results ( See appendix              | D)   | Biopsy codes   | Bioj             | osy Coo               | de         |
|  | 1= negative 2=benign 3= prostatic intrae   | 4= adenocarci<br>5= CA other _<br>pithelial neplasia (PIN) 9= unknown o        | •                |                       |            |
| Reason for Biopsy                          |  |  |                  |                       |            |
|  |  | Reason Codes   | Yes              | No                    |            |
|  |  | Abnormal physical finding Symptoms Elevated PSA Incidental TURP findings Other | 1<br>1<br>1<br>1 | 2<br>2<br>2<br>2<br>2 |            |
|  |  |  | 1                | 2                     | 9<br>Unkwn |
| Ultrasound volume de<br>Ploidy available ? |  | ck if yes  | •                | (gra<br>9=unknwn      | ams)       |
| PIN mentioned?                             |  | ck if yes [  | <b>)</b>         |                       |            |

| Biopsy Number #  |   | ···· <u> </u> |          |            |
|--|---|---------------|----------|------------|
| Biopsy Date  |   |               |          |            |
| (Physician Name)   | <u>-</u>  |               |          |            |
| Physician License Number                                     |   |               |          |            |
| Biopsy Source  |   |               |          |            |
| Codes  |   |               |          |            |
| 1 = prostate, needle<br>2 = prostate, TURP<br>3 = lymph node | 4 = bone<br>5 =other<br>9 = unknown                                       |               |          |            |
| Biopsy Results   |   | Bior          | osy Co   | de         |
| ( See appendix D)  | Biopsy codes  | •             | •        |            |
| 1= negative<br>2=benign<br>3= prostatic intra                | 4= adenocarcir<br>5= CA other<br>aepithelial neplasia (PIN) 9= unknown on |               |          |            |
| Reason for Biopsy  |   |               |          |            |
|  | Reason Codes  | Yes           | No       |            |
|  | Abnormal physical finding Symptoms  | 1             | 2<br>2   |            |
|  | Elevated PSA<br>Incidental TURP findings                                  | 1<br>1        | 2<br>2   |            |
|  | Other   | 1             | 2        |            |
| Ultrasound volume done                                       |   | 1             | 2        | 9<br>Unkwn |
| Ultrasound volume determination                              |   |               | (gr      | ams)       |
| Ploidy available ?   | ck if yes 🗅   | •             | 9=unknwr | 1)         |
| PIN mentioned ?  | ck if yes   |               |          |            |

| Biopsy Number #                            |  |  |                  |                       |                     |
|--|--|--|------------------|-----------------------|---------------------|
| Biopsy Date                                |  |  |                  |                       |                     |
| (Physician Name)                           |  |  |                  |                       |                     |
| Physician License N                        | umber  |  | - <del>-</del> - |                       |                     |
| Biopsy Source                              | Codes  |  |                  |                       |                     |
| 2 = pro                                    | state, needle<br>state, TURP<br>uph node       | 4 = bone<br>5 =other<br>9 = unknown  |                  |                       |                     |
| Biopsy Results<br>( See appendix I         |  | Biopsy codes   | Biop             | osy Coo               | de                  |
|  | 1= negative<br>2=benign<br>3= prostatic intrae | 4= adenocarcine 5= CA other pithelial neplasia (PIN) 9= unknown or             |                  |                       | <del></del>         |
| Reason for Biopsy                          |  |  |                  |                       |                     |
|  |  | Reason Codes   | Yes              | No                    |                     |
|  |  | Abnormal physical finding Symptoms Elevated PSA Incidental TURP findings Other | 1<br>1<br>1<br>1 | 2<br>2<br>2<br>2<br>2 |                     |
| Ultrasound volume do                       | ne   |  | 1                | 2                     | 9                   |
| Ultrasound volume de<br>Ploidy available ? |  | ck if yes  | (999             | (gra<br>9=unknwr      | Unkwn<br>ams)<br>n) |
| PIN mentioned ?                            |  | ck if yes 🖵  |                  |                       |                     |

Date of Case Diagnosis FIELD(DateDxVeri\_IN)
Date of Case Death FIELD(DateDeath(DC2))

Cases & controls

DISEASE SUBFILE SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

Date Initials

| Disease Number #                                |
|---|
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |
| Disease Number #                                |
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |

| Disease Number #                                |
|---|
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |
| Disease Number #                                |
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |

| Disease Number #                                |
|---|
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)(only if a procedure was done)  |
| Physician License Number: (physician data base) |
| Disease Number #                                |
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |

| Disease Number #                                |
|---|
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |
| Disease Number #                                |
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |

| Disease Number #                                |
|---|
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |
| Disease Number #                                |
| Disease Name (physician worksheet)              |
| Disease Year Diagnosed                          |
| Disease ICD-9 Code (Appendices F & G)           |
| Procedure Name (if any) (Appendix )             |
| Procedure Code (Appendix)                       |
| Procedure Date                                  |
| (Physician Name)                                |
| Physician License Number: (physician data base) |

Date Case Diagnosis FIELD(DateDxVeri\_IN)
Date Case Death FIELD(DateDeath(DC2))

Cases & controls

PHYSICIAN SUBFILE SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

DATE INITIALS

# Date Case Diagnosis FIELD(DateDxVeri\_IN) Date of Case Death FIELD(DateDeath(DC2))

|         | Final<br>Disp.      |          |   |        |   |      |      |  |
|---------|---------------------|----------|---|--------|---|------|------|--|
|         | Contact<br>Y/N      |          |   |        |   |      |      |  |
|         | Yrs<br>known        | 99=unkn  |   | =<br>= | • |      |      |  |
|         | Visits              | 999=unkn |   |        |   |      |      |  |
|         | Phone Number        |          |   |        |   |      |      |  |
|         | License #           |          |   | <br>   |   | <br> | <br> | <br>Property and the second |
| DOCTORS | (Name)              |          |   |        |   |      |      |  |
|         | Date<br>letter sent |          | - |        |   |      |      |  |

# Final Disposition Codes:

1 = complete obtained

2 = partial information

3 = refused (several attempts) 4 = none available

5= involved in care but another date 6= never involved in care

7 = doctor, hospital only

8 = group practice, not primary doctor

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|          | Final<br>Disp.      |      |      |   |  |      |  |
|----------|---------------------|------|------|---|--|------|--|
|          | Contact   Y/N       |      |      |   |  |      |  |
|          | Yrs                 |      |      | • |  |      |  |
|          | Visits              |      |      |   |  |      |  |
|          | Phone Number        |      |      |   |  |      |  |
| <b>A</b> | License #           | <br> | <br> | - |  | <br> |  |
| DOCTORS  | (Name)              |      |      |   |  |      |  |
|          | Date<br>letter sent |      |      |   |  |      |  |

Final Disposition Codes:

1 = complete obtained 2 = partial information

3 = refused (several attempts) 4 = none available

5= involved in care but another date

6= never involved in care 7 = doctor, hospital only

8 = group practice, not primary doctor

Date Case Diagnosis FIELD(DateDxVeri\_IN)
Date Case Death FIELD(DateDeath(DC2))

Cases & Controls

HOSPITAL SUBFILE SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

Date Initials

|                  |                                 | T . | T | 1 | ] | T | T |
|------------------|---------------------------------|-----|---|---|---|---|---|
|                  | FINAL                           |     |   |   |   |   |   |
|                  | CONT<br>Y/N                     |     |   |   |   |   |   |
|                  | ATTENDING<br>LICENSE #          |     |   | - |   |   |   |
|                  | (ATTENDING<br>NAME)             |     |   |   |   |   |   |
|                  | (qays)                          |     |   |   |   |   |   |
| SNO              | ADMIT<br>DATE                   |     |   |   |   |   |   |
| IZATIC           | Insure                          |     |   |   |   |   |   |
| OSPITA           | HOSP.                           |     |   |   |   |   |   |
| HOSPITALIZATIONS | PHONE #                         |     |   |   |   |   |   |
|                  | #                               |     |   |   |   |   |   |
|                  | HOSPITAL NAME Insurance Company |     |   |   |   |   |   |
|                  | Date<br>letter<br>sent          |     |   |   | · |   |   |

Final Disposition Codes: 1 = complete information obtained 2 = partial information obtained

3 = refused (several attempts)

4 = involved in care but no information available 5 = involved in care but another date

6 = never involved in care

2 = HMO /PPO fee for srv

(see nurse coordinator if unsure)

Insurance Codes: 1 = medicare

5= Medicaid 9 = unknown

3 = other4 = none

> For shortstay procedures, same-day surgery, and ER 'admissions' put '0' for LOS and put admit date in. Note: For outpatient contact only and no actual admissions, put '0' for LOS and leave admit date blank.

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For choice # 5 leave both LOS and admit date blank.

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Date Case Diagnosis FIELD(DateDxVeri\_IN) FIELD(IdentID)

|                  | ے ا                             | 1 | 1 | T | <u></u> | I |  |
|------------------|---------------------------------|---|---|---|---------|---|--|
|                  | FINAL                           |   |   |   |         |   |  |
|                  | CONT<br>Y/N                     |   |   | · |         |   |  |
|                  | ATTENDING<br>LICENSE#           |   |   |   |         |   |  |
| <b>&gt;</b>      | (ATTENDING<br>NAME)             |   |   |   |         |   |  |
|                  | LOS<br>(days)                   |   |   |   |         |   |  |
| SN(              | ADMIT<br>DATE                   |   |   |   |         |   |  |
| LIZATIC          | Insure<br>Code                  |   |   |   |         |   |  |
| OSPITA           | HOSP.<br>CODE                   |   |   |   |         |   |  |
| HOSPITALIZATIONS | PHONE #                         |   |   |   |         |   |  |
| •[               | #                               |   |   |   |         |   |  |
|                  | HOSPITAL NAME Insurance Company |   |   |   |         |   |  |
|                  | Date<br>letter<br>sent          | • | 1 |   |         |   |  |

Final Disposition Codes: 1 = complete information obtained

2 = partial information obtained 3 = refused (several attempts) Note: For outpatient contact only and no actual admissions, put '0' for LOS and leave admit date blank.

4 = involved in care but no information available 5 = involved in care but another date

6 = never involved in care

9 = unknown5=medicaid 4 = none 2 = HMO /PPOfee for srv

3 = other

Insurance Codes: 1 = medicare

(see nurse coordinator if unsure)

For shortstay procedures, same-day surgery, and ER 'admissions' put '0' for LOS and put admit date in. For choice # 5 leave both LOS and admit date blank. 04/21/99

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Date Case Diagnosis FIELD(DateDxVeri\_IN)
Date Case Death FIELD(DateDeath(DC2))

Cases & controls

MEDICATIONS SUBFILE SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

Date Initials

| Medication Name (Physician worksheet, hospital records) | Code<br>(Reference) | Prostate Related<br>(1= yes 2 = no<br>9= unknown) |
|---|---------------------|---|
|   |                     |   |
|   |                     |   |
|   |                     |   |
|   |                     |   |
|   |                     |   |
|   |                     |   |
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| ,   |                     |   |
| •   |                     |   |
|   |                     |   |

Date of Case Diagnosis FIELD(DateDxVeri\_IN)
Date of Case Death FIELD(DateDeath(DC2))

Cases & Controls

PSA ABSTRACT SHEET

FIELD(LastName(DC1)), FIELD(FirstNameMI(DC1))

| -    |      | •   |     |    |
|------|------|-----|-----|----|
| - 11 | ICH  | UC1 | tin | n  |
| v    | 12 I | osi | uu  | 11 |
|      |      |     |     |    |

| Interviewer:       | Data Entry A: Date:    |
|--------------------|------------------------|
| Date of Interview: | Person:                |
| Q/A Person:        | Data Entry B: Date:    |
| Date of Review:    | Person:                |
|                    | Physician Review Date: |
|                    | · Physician:           |

| PSA Number #   |                    | •••••   | ••••••            | #                           |                  |                   |              |
|--|--------------------|---------|-------------------|-----------------------------|------------------|-------------------|--------------|
| PSA Date   |                    |         |                   | <u></u>                     | <br>DD           | _<br>YYYY         |              |
| (Physician Name)   |                    | -       |                   |                             |                  |                   |              |
| Physician License Number (Pl   | nysician data base | or phy  | sician worksheet  | i)                          |                  | <del></del>       | _            |
| PSA Result ****  |                    |         | ••••••            |                             |                  | ·                 |              |
| Free PSA Reference (if done)   | • to _             | (high)  |                   |                             |                  |                   |              |
| Free PSA Result (if done) ****   |                    |         |                   |                             | •                |                   |              |
| PSA done with DRE, because of done because of an abno                          | •                  |         |                   | w-up)                       | Yes<br>1         | No<br>2           | Unknwn<br>3  |
| What was the date of the DRE   | ?                  |         |                   |                             |                  | ·                 |              |
| Was PSA done because of a findir   | g on the DRE?      |         |                   |                             | 1                | 2                 | 3            |
| Was there any findings on the DR   | E?                 | •••••   |                   |                             | 1                | 2                 | 3            |
| Was the DRE finding be   | nign (BPH) ?       |         | •••••             | •••••                       | 1                | 2                 | 3            |
| Was the DRE finding su   | spicious?          | •••••   |                   | •••••                       | 1                | 2                 | 3            |
| Is this the 1st elevated post-prosta<br>(Collect PSA's up to and including the | -                  |         |                   |                             | l<br>ated post-p | 2<br>prostatector | 3<br>my PSA) |
| Was this PSA done within 6 me  | onths of prostate  | cancei  | r diagnosis of th | e case?                     | 1                | 2                 | 3            |
| IF LESS THAN   | 6 MONTHS, FLA      | G THIS  | S FOR PHYSICL     | AN REVIEW .                 |                  |                   |              |
| Reason for PSA   |                    |         | Circle all R      | Reason Codes                | that app         | oly               |              |
| 1  | Yes                | No      |                   |                             |                  | Yes               | No           |
| 1 = pure screening   | 1                  | 2       |                   | ow-up abnl PSA              | A                | 1                 | 2            |
| 2 = enlargement (no nodule)<br>3 = nodule                                      | 1                  | 2<br>2  |                   | w-up neg bx                 |                  | 1                 | 2            |
| 4 = abnl prostate, other   | -                  | 2       |                   | imaging findir ocumentation | igs              | 1<br>1            | 2            |
| 5 =prostatism symptoms   | 1                  | 2       |                   | r                           |                  |                   | 2<br>2       |
|  | Physician Rev      | iewer o | only:             |                             |                  |                   |              |
| RESULT OF REVIEW   |                    |         | valid screen      | invalid scree               | n                |                   |              |
|  | (circle one)       |         | 1                 | 2                           |                  |                   |              |
| If validity uncertain check here   | e to red flag      | •••••   |                   | •••••                       |                  |                   |              |

| PSA Number #   |                       |                 |  | #                              |                 |                   |              |
|--|-----------------------|-----------------|--|--------------------------------|-----------------|-------------------|--------------|
| PSA Date   |                       |                 |  | <u></u> -                      | <br>DD          | YYYY              |              |
| (Physician Name)   |                       | -               |  |                                |                 |                   |              |
| Physician License Number (F  | hysician data bas     | se or ph        | ysician workshee                       | t)                             |                 | · — — –           | _            |
| PSA Result ****  |                       |                 |  | <u> </u>                       |                 | •                 |              |
| Free PSA Reference (if done)   | ···· — <del>(</del> 1 | to              | — (high)                               |                                |                 |                   |              |
| Free PSA Result (if done) ****   | k                     |                 |  |                                | <b>-</b> •—     |                   |              |
| PSA done with DRE, because done because of an abn                            |                       |                 |  | ow-up)                         | Yes<br>1        | No<br>2           | Unknwn<br>3  |
| What was the date of the DRI   | E <b>?</b>            |                 | •••••                                  |                                |                 |                   |              |
| Was PSA done because of a findi  | ng on the DRE?        |                 |  |                                | 1               | 2                 | 3            |
| Was there any findings on the Di   | RE?                   |                 | ······································ |                                | 1               | 2                 | 3            |
| Was the DRE finding b  | enign (BPH) ?         |                 |  |                                | 1               | 2                 | 3            |
| Was the DRE finding s  | uspicious?            |                 |  |                                | 1               | 2                 | 3            |
| Is this the 1st elevated post-prost<br>(Collect PSA's up to and including th |                       |                 |  |                                | 1<br>vated post | 2<br>-prostatecto | 3<br>my PSA) |
| Was this PSA done within 6 n   | onths of prostat      | te cance        | er diagnosis of th                     | e case?                        | 1               | 2                 | 3            |
| IF LESS THAN   | 6 MONTHS, FL          | AG TH           |  |                                |                 |                   |              |
| Reason for PSA   |                       |                 |  | Reason Code                    | s that ap       | • •               |              |
| 1  | Yes                   |                 |  | arr rom ahmil DC               | ٨               | Yes               | No           |
| 1 = pure screening<br>2 = enlargement (no nodule)                            | 1<br>1                | 2<br>2          |  | ow-up abnl PS<br>ow-up neg bx  | A               | 1                 | 2<br>2       |
| 3 = nodule   | 1                     | 2               |  | ow-up neg ox<br>l imaging find | inge            | 1                 | 2            |
| 4 = abnl prostate, other   | -                     | 2               |  | documentation                  |                 | _                 | 2            |
| 5 =prostatism symptoms   | 1                     | 2               |  | er                             |                 |                   | 2            |
|  | Physician Re          | eviewe <b>r</b> | only:                                  |                                |                 |                   |              |
| RESULT OF REVIEW   | (circle one)          | ••••••          | valid screen                           | invalid scre                   | en              |                   |              |
| If validity uncertain check he   | ,                     |                 |  |                                |                 | . 🗆               | <u> </u>     |

| PSA Number #   |                     |   |                  | #                | _                |                   |              |
|--|---------------------|---|------------------|------------------|------------------|-------------------|--------------|
| PSA Date   |                     |   |                  |                  |                  | YYYY              |              |
| (Physician Name)   |                     |   |                  | 141141           |                  |                   |              |
| Physician License Number (   | Physician data base | or phy                                  | ysician workshe  | et)              |                  |                   | _            |
| PSA Result ****  |                     |   |                  |                  |                  | •                 |              |
| Free PSA Reference (if done)   | · to                | (high)                                  |                  |                  |                  |                   |              |
| Free PSA Result (if done) ***  | *                   |   | •••••            |                  | •                |                   |              |
| PSA done with DRE, because done because of an abr                            |                     |   |                  | low-up)          | Yes<br>1         | No<br>2           | Unknwn<br>3  |
| What was the date of the DR  | E?                  |   | •••••            |                  |                  |                   |              |
| Was PSA done because of a find   | ing on the DRE?     |   |                  |                  | 1                | 2                 | 3            |
| Was there any findings on the D  | RE?                 |   |                  | •••••            | 1                | 2                 | 3            |
| Was the DRE finding b  | oenign (BPH) ?      |   |                  | •••••            | 1                | 2                 | 3            |
| Was the DRE finding  | suspicious?         |   |                  | •••••            | 1                | 2                 | 3            |
| Is this the 1st elevated post-pros<br>(Collect PSA's up to and including the | •                   |   |                  |                  | l<br>vated post- | 2<br>prostatector | 3<br>my PSA) |
| Was this PSA done within 6 r   | nonths of prostate  | cance                                   | r diagnosis of t | he case?         | 1                | 2                 | 3            |
| IF LESS THAI   | N 6 MONTHS, FLAC    | G THI                                   | S FOR PHYSIC     | IAN REVIEW       |                  |                   |              |
| Reason for PSA   |                     |   | Circle all       | Reason Codes     | that ap          | ply               |              |
|  | Yes                 | No                                      |                  |                  |                  | Yes               | No           |
| 1 = pure screening   | 1                   | 2                                       |                  | llow-up abnl PS. | A                | 1                 | 2            |
| 2 = enlargement (no nodule)  | 1                   | 2                                       |                  | llow-up neg bx   |                  | 1                 | 2            |
| 3 = nodule   | 1                   | 2                                       | 8 = ab           | nl imaging findi | ngs              | 1                 | 2            |
| 4 = abnl prostate, other   |                     | 2                                       |                  | documentation    |                  | 1                 | 2            |
| 5 =prostatism symptoms   | 1                   | 2                                       | 11 = ot          | ner              |                  | _ 1               | 2            |
|  | Physician Rev       | iewer                                   | only:            |                  |                  |                   |              |
| RESULT OF REVIEW   |                     | · · · · · · · · ·                       | valid screen     | invalid scree    | en               |                   |              |
|  | (circle one)        |   | 1                | 2                |                  | _                 |              |
| If validity uncertain check he   | ere to red flag     | • |                  | •••••            |                  |                   |              |

| PSA Number #  |                 |             | # _  |                    |                 |              |
|---|-----------------|-------------|--|--------------------|-----------------|--------------|
| PSA Date  |                 |             | <u></u>  |                    | <del>-</del> v  |              |
| (Physician Name)  |                 | <del></del> |  | DD 11              | 11              |              |
| Physician License Number (Physi   | ician data base | or phy      | sician worksheet)                              | <del></del>        |                 |              |
| PSA Result ****   |                 |             | ······   |                    | -               |              |
| Free PSA Reference (if done) (Ap  | pendix H)       | ••••••      | (low)  | (high)             | <del>-</del>    |              |
| Free PSA Result (if done) ****  |                 |             |  | <b>·</b> -         |                 |              |
| PSA done with DRE or because of<br>(Do not include DRE's done for fol                 |                 |             |  | Yes<br>1           | No<br>2         | Unknwn<br>3  |
| What was the date of the DRE?   |                 | •••••       |  |                    |                 | <del></del>  |
| Was PSA done because of a finding o   | n the DRE?      |             |  | 1                  | 2               | 3            |
| Was there any findings on the DRE?  |                 |             |  | 1                  | 2               | 3            |
| Was the DRE finding benig   | n (BPH) ?       |             |  | 1                  | 2               | 3            |
| Was the DRE finding suspi   | cious?          | •••••       |  | 1                  | 2               | 3            |
| Is this the 1st elevated post-prostatec<br>(Collect PSA's up to and including the 1st | -               |             |  | 1<br>evated post-p | 2<br>rostatecto | 3<br>my PSA) |
| Was this PSA done within 6 mont   | hs of prostate  | cancer      | diagnosis of the case?                         | 1                  | 2               | 3            |
| IF LESS THAN 6 M<br>Reason for PSA  | IONTHS, FLA     | G THIS      | FOR PHYSICIAN REVIEW Circle all Reason Code    |                    | ly              |              |
|   | Yes             | No          |  |                    | Yes             | No           |
| 1 = pure screening  | 1               | 2           | 6 = follow-up abnl PS                          | iΑ                 | 1               | 2            |
| 2 = enlargement (no nodule) 3 = nodule  | 1               | 2           | 7 = follow-up neg bx                           | a.                 | I               | 2            |
| 4 = abnl prostate, other  | 1               | 2<br>2      | 8 = abnl imaging find<br>10 = no documentation |                    | 1<br>1          | 2<br>2       |
| 5 =prostatism symptoms  | - i             | 2           | 11 = other                                     |                    | _ 1             | 2            |
|   | Physician Rev   | iewer o     | nly:   |                    |                 |              |
| RESULT OF REVIEW  |                 |             | valid screen invalid scre                      | en                 |                 |              |
|   | (circle one)    |             | 1 2  |                    |                 |              |
| If validity uncertain check here to   | red flag        |             |  |                    |                 |              |
| 06/17/00  |                 |             |  |                    |                 |              |

06/17/99 Psa\_ab.WPD

#### Dear Dr. Frank and Stein:

Name of nationt:

The New Jersey Department of Health and Senior Services is currently updating and checking the quality of data in the New Jersey State Cancer Registry. It is imperative for us to maintain the accuracy and timeliness of the data in the registry so it can be reliably utilized in tracking cancer trends and outcomes. This particular form refers to various aspects of men with prostate cancer. (You may already have been asked some questions regarding several of your patients with prostate cancer on a collaborative study that we are doing in collaboration with the Robert Wood Johnson Medical School.) We thank you for your efforts! This current request is SHORT and contains information that the New Jersey Tumor Registry already requests for its cancer control program. We may have obtained some of this information from the hospital reporting system, but not all patients are hospitalized which results in large gaps in our data. Also, you may have more up-to-date and accurate information than the hospital sources. We thank you for your time and efforts in helping to keep us current.

| NJ Tumor Registry Dat             | e of Dia             | ignosis | );              | (please | change if you feel it is different)  |
|-----------------------------------|----------------------|---------|-----------------|---------|--|
| Birth Date                        |                      |         |                 |         |  |
| Hospitalized                      | Yes □                | l       | No □            |         | Circle one   |
| Hospital Name(s)                  |                      |         |                 |         | If hospitalized<br>  |
| Clinical Stage                    | T                    | N       | M               | (A-D)   | TNM or A-D (Jewett-Whittemore)   |
| Pathological Stage                | T                    | N       | M               | (A-D)   | If surgically staged   |
| Gleason score                     |                      | ******* |                 |         | From biopsy or surgical specimen   |
| Prostatectomy done?               | Yes 🗆                |         | No 🗆            |         | Check one  |
| Other Treatments?                 | □ Br<br>□ Ho<br>□ Ch | achythe | therapy<br>rapy | ition   | Check all that have been or are currently being utilized   |
| Comorbid Disease(s)               | 1) 2) 3) 4) 5)       |         |                 |         | Especially chronic disease such as CAD, diabetes, CHF, sleep apnea, other cancers, renal failure, etc. |
| Current vital status              | 0)                   |         |                 |         | Check one  |
| Current vital status              | Alive                |         | Dead [          |         | Check one  |
| Cause of Death                    |                      |         | •••••           | •       | May be other than metastatic prostate disease  |
| Other physicians involved in care |                      |         |                 |         | Actively involved in the care of the patient while sick with prostate cancer                           |
| # of visits to to your office     |                      | ~~···   |                 |         | (Outpatient Utilization)   |